



# CONVOLUTIONAL NEURAL NETWORKS

**EE 541 – UNIT 8**



# OUTLINE FOR SLIDES

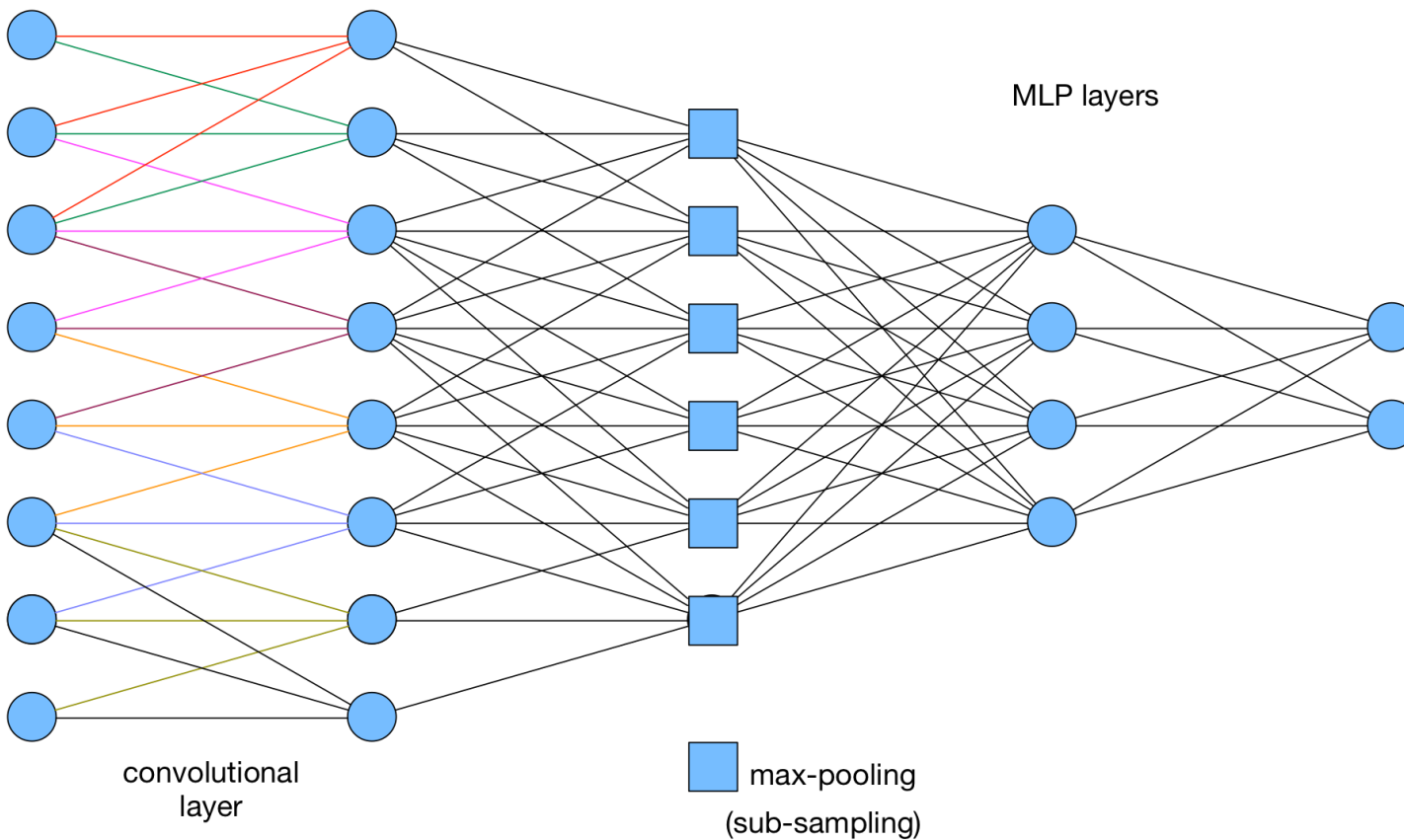
- Motivation, applications
- Basic 2D convolution operations
  - PyTorch 2Dconv layer
- Pooling and stride
- Fashion MNIST example
- Visualization methods
- Some common CNN structures
- Reduced complexity CNN architectures
- Outline of Back-propagation for CNNs



# CONVNETS

# (TYPES OF NEURAL NETWORKS)

## Convolutional NNets



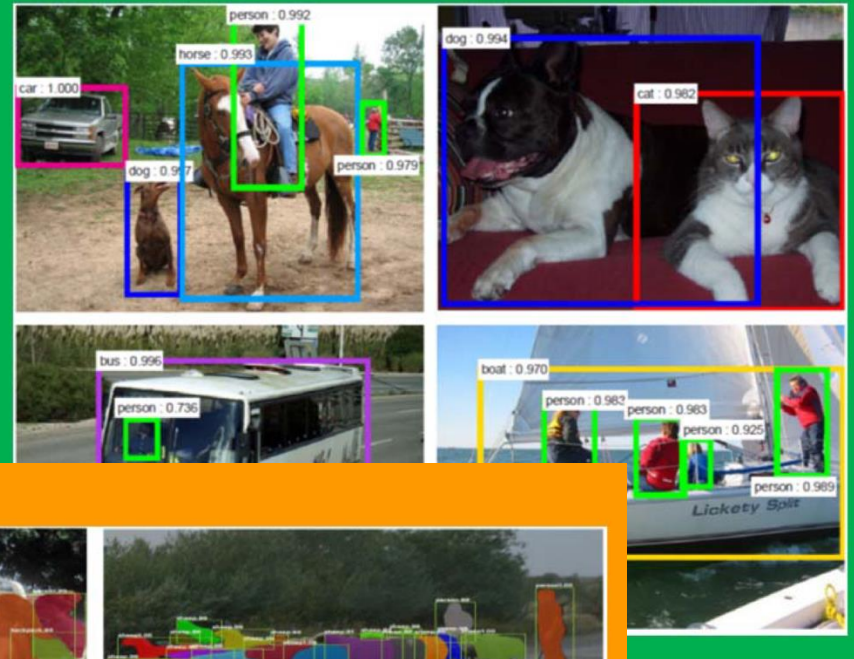
Can view convolutions as feature extractors for MLP classifier  
(this feature extraction is learned)

# CNNs ARE WIDELY USED, ESPECIALLY IN VISION TASKS

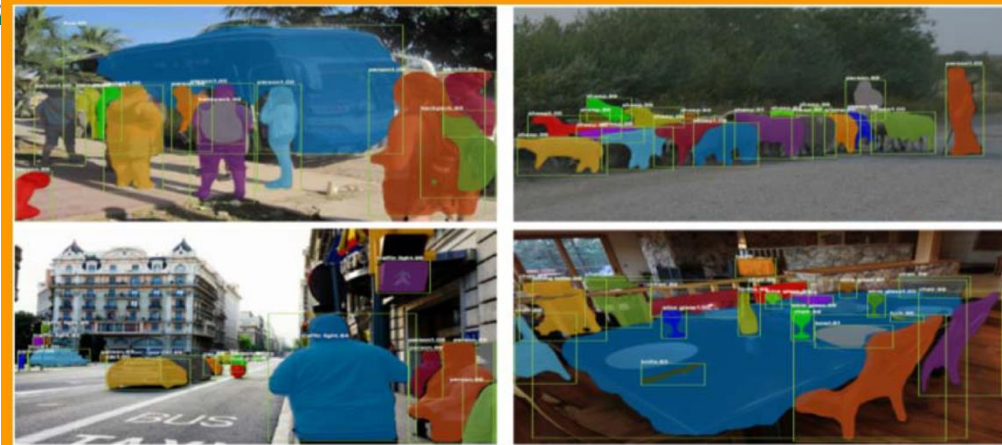
## Classification



## Detection

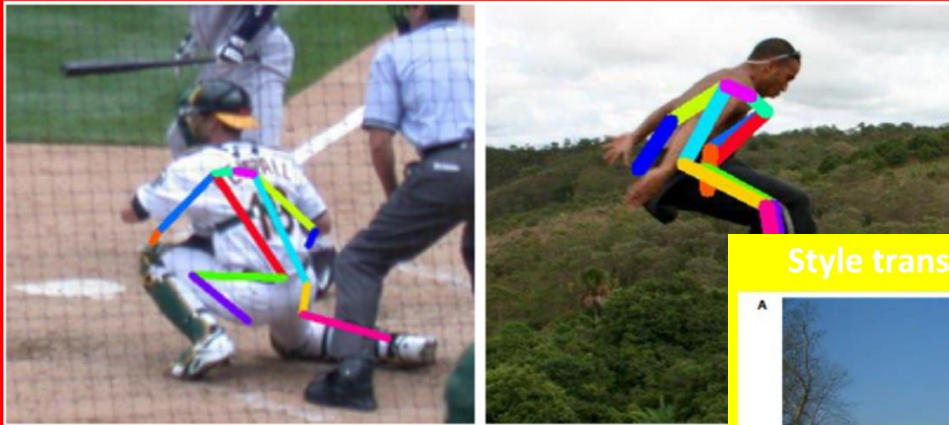


## Segmentation

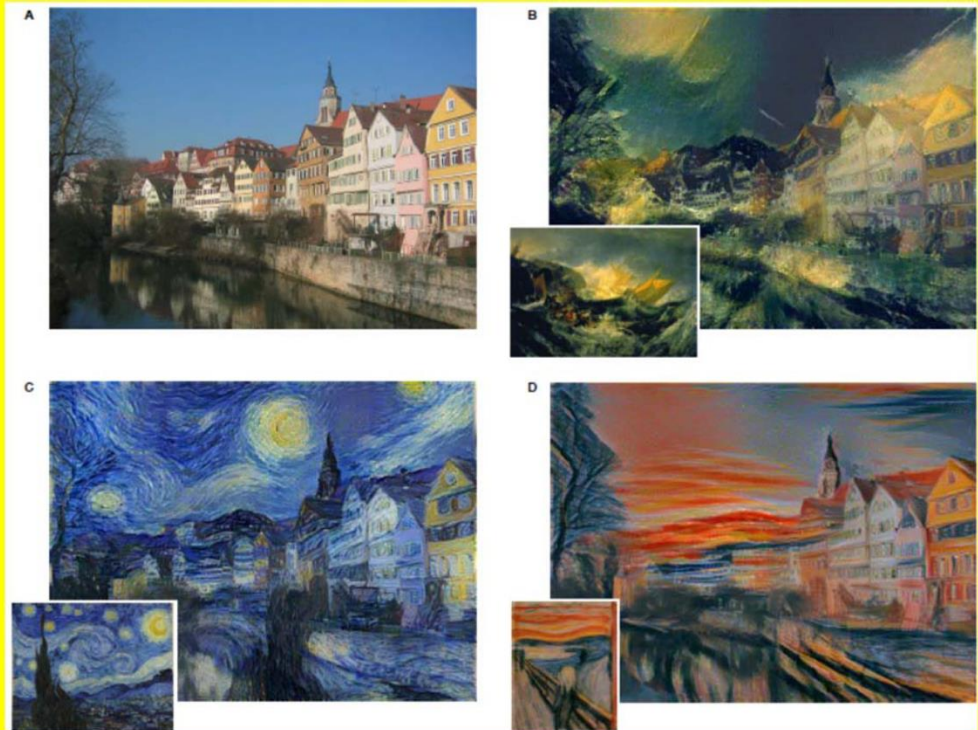


# CNNs ARE WIDELY USED, ESPECIALLY IN VISION TASKS

## Pose estimation



## Style transfer





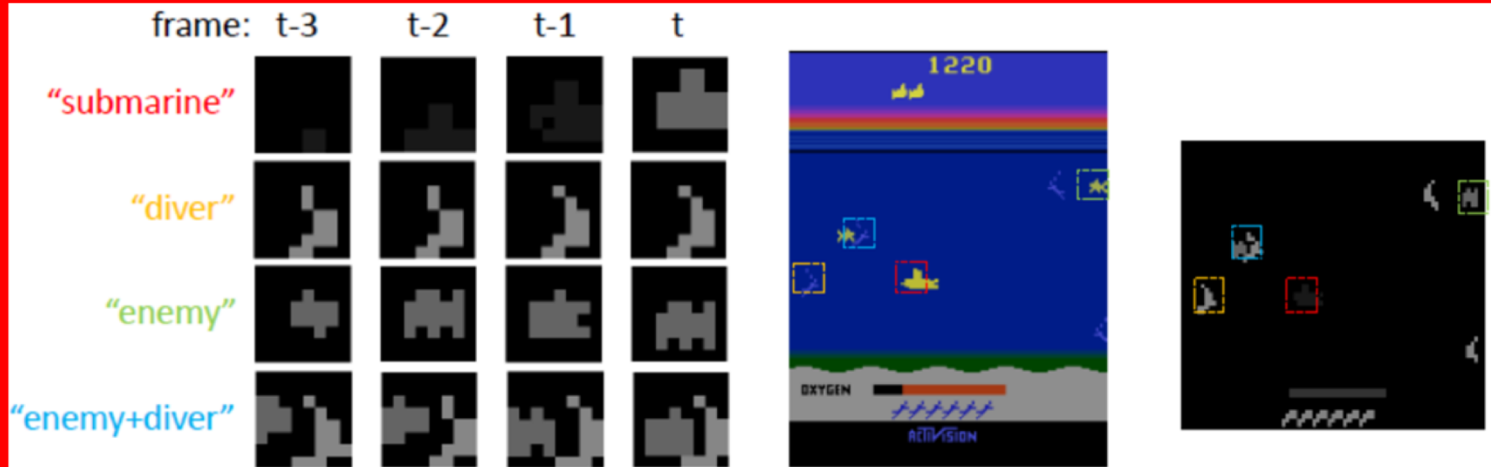
# CNNs ARE WIDELY USED, ESPECIALLY IN VISION TASKS

## Deep Fakes



# CNNs: USE WHEN FEATURE INFORMATION IS LOCALIZED

## Policy selection



## Captioning



a train is traveling down the tracks at a train station



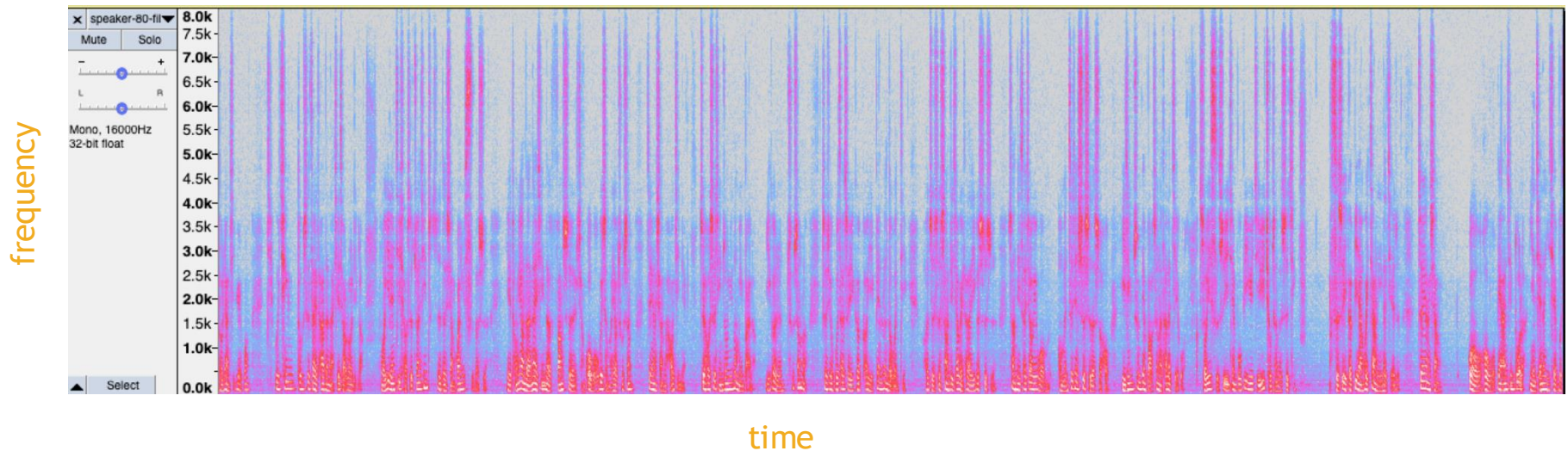
a cake with a slice cut out of it



a bench sitting on a patch of grass next to a sidewalk

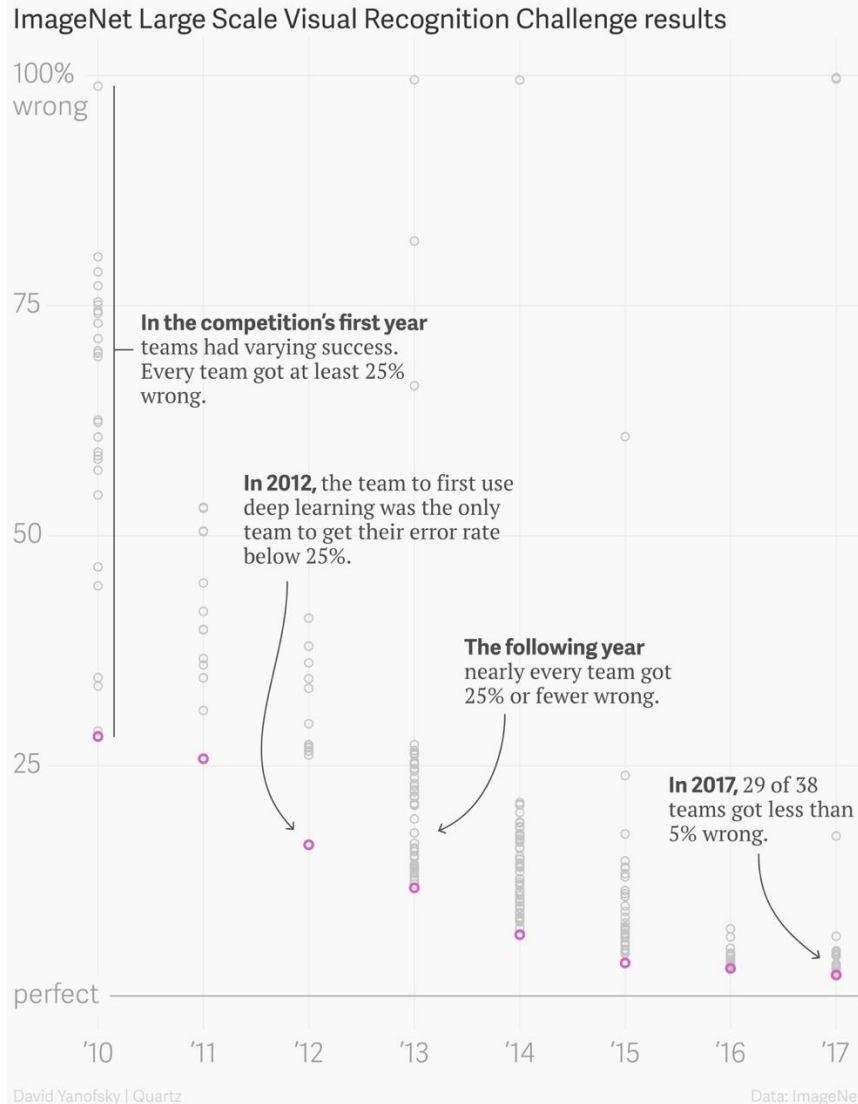


# CNNs: USE WHEN FEATURE INFORMATION IS LOCALIZED



does not need to be a “natural” image —  
e.g., signal classification from spectrograms

# CNNs: CHANGING WHAT IS POSSIBLE WITH CV



*CNNs changed the game for many computer vision tasks*

The leap that transformed AI research—  
and possibly the world

## CNNS: 1D, 2D, 3D

there are 1D and 3D convolutional layers, but conv2D is most widely used

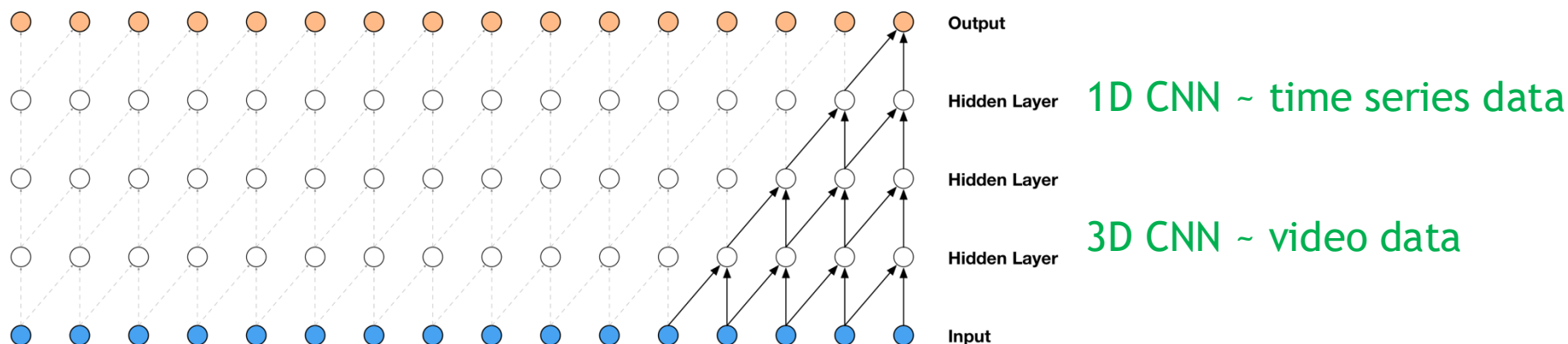


Figure 2: Visualization of a stack of causal convolutional layers.

1D Conv layers

(recurrent networks are options too  
and can be combined with conv)



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# 2D CONVOLUTION





## 2D CONVOLUTION OPERATIONS

2D convolution:

$$\begin{aligned} y[i, j] &= x[i, j] * h[i, j] = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} x[m, n] h[i - m, j - n] \\ &= \sum_{m=-L}^L \sum_{n=-L}^L x[m, n] h[i - m, j - n] \end{aligned}$$

and 2D correlation:

$$\begin{aligned} y[i, j] &= x[i, j] \star h[i, j] = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} x[m, n] h[i + m, j + n] \\ &= \sum_{m=-L}^L \sum_{n=-L}^L x[m, n] h[i + m, j + n] \end{aligned}$$

Note: last expressions assume that  $h[i, j]$  is zero for  $|i| > L$ , and  $|j| > L$



## 2D CONVOLUTION OPERATIONS

Since we will be learning the 2D filter  $h[i, j]$  we can adapt a correlation convention as “convolution”

typical notation and terminology in the deep learning literature

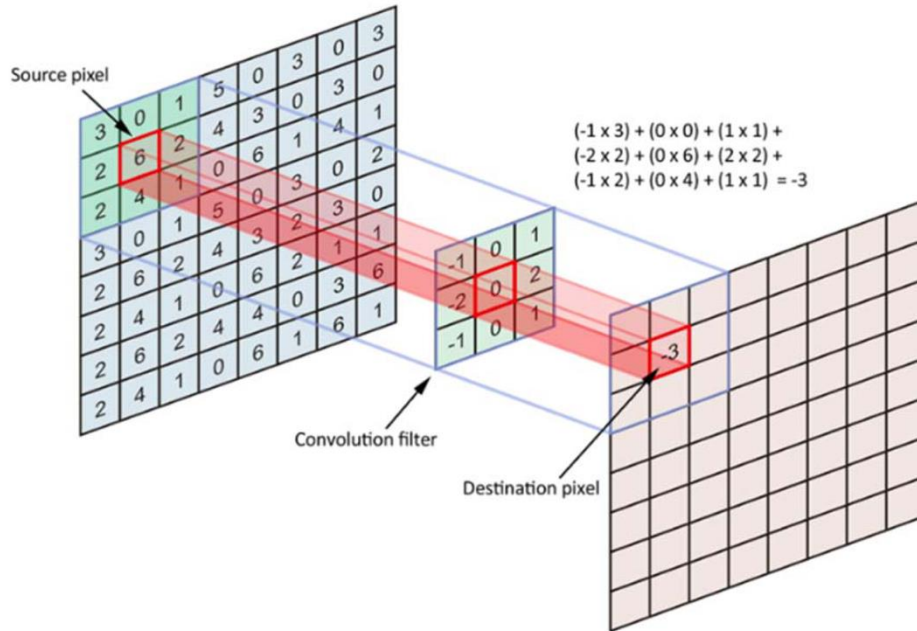
$$y[i, j] = x[i, j] \star K[i, j] = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} K[m, n] x[i + m, j + n]$$

$K[i, j] \sim$  (2D) Filter kernel  
“ $y$  is  $x$  convolved with  $K$ ”

$$y[i, j] = x[i, j] \star K[i, j] = \sum_{(m,n) \in \text{supp}(K)} K[m, n] x[i + m, j + n]$$

typically, the support region of the kernel is small —  
e.g., 3x3 kernels are very common

# 2D CONVOLUTION OPERATIONS



This is what you learn!



0	0	0	0	0	0	0
0	60	113	56	139	85	0
0	73	121	54	84	128	0
0	131	99	70	129	127	0
0	80	57	115	69	134	0
0	104	126	123	95	130	0
0	0	0	0	0	0	0

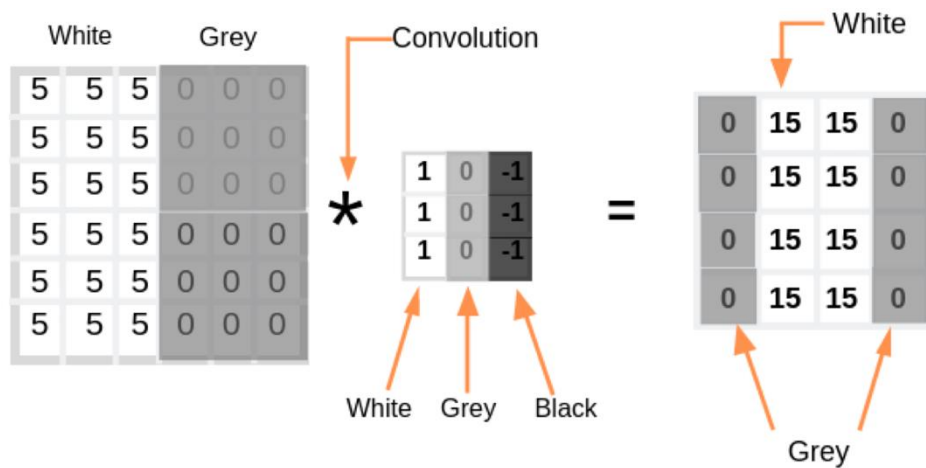
Kernel

0	-1	0
-1	5	-1
0	-1	0

114				

# TRADITIONAL 2D IMAGE FILTERS

2D filters are widely used in the field of image processing



example: edge detection filter

many computer vision tasks require many types filters to produce features

**CNNs learn these filters from the dataset —  
learn good feature extraction**

## 2D CONVOLUTION OPERATIONS — PADDING

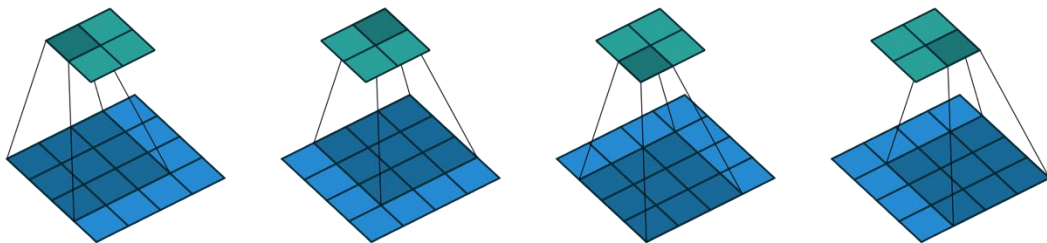


Figure 2.1: (No padding, no strides) Convolving a  $3 \times 3$  kernel over a  $4 \times 4$  input using unit strides (i.e.,  $i = 4$ ,  $k = 3$ ,  $s = 1$  and  $p = 0$ ).

*no padding*  
empty padding in PyTorch

output will be  
smaller than input

here,  $4 \times 4 \rightarrow 2 \times 2$

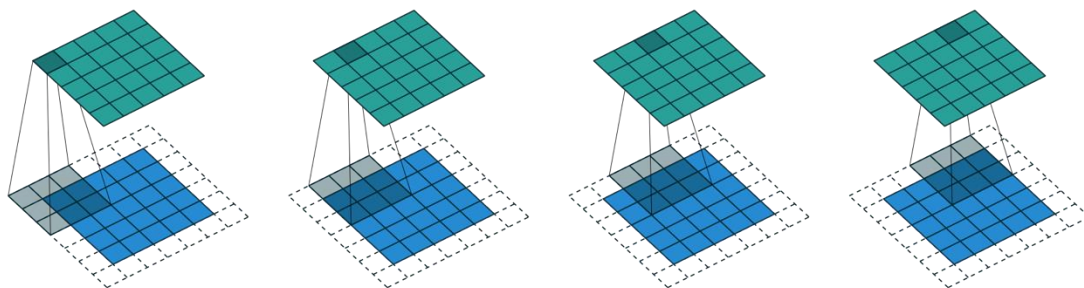


Figure 2.3: (Half padding, no strides) Convolving a  $3 \times 3$  kernel over a  $5 \times 5$  input using half padding and unit strides (i.e.,  $i = 5$ ,  $k = 3$ ,  $s = 1$  and  $p = 1$ ).

*symmetric padding*  
padding: [1 | [1,1]] in PyTorch

output will be  
same size as input

here,  $5 \times 5 \rightarrow 5 \times 5$



## 2D CONVOLUTION OPERATIONS — PADDING

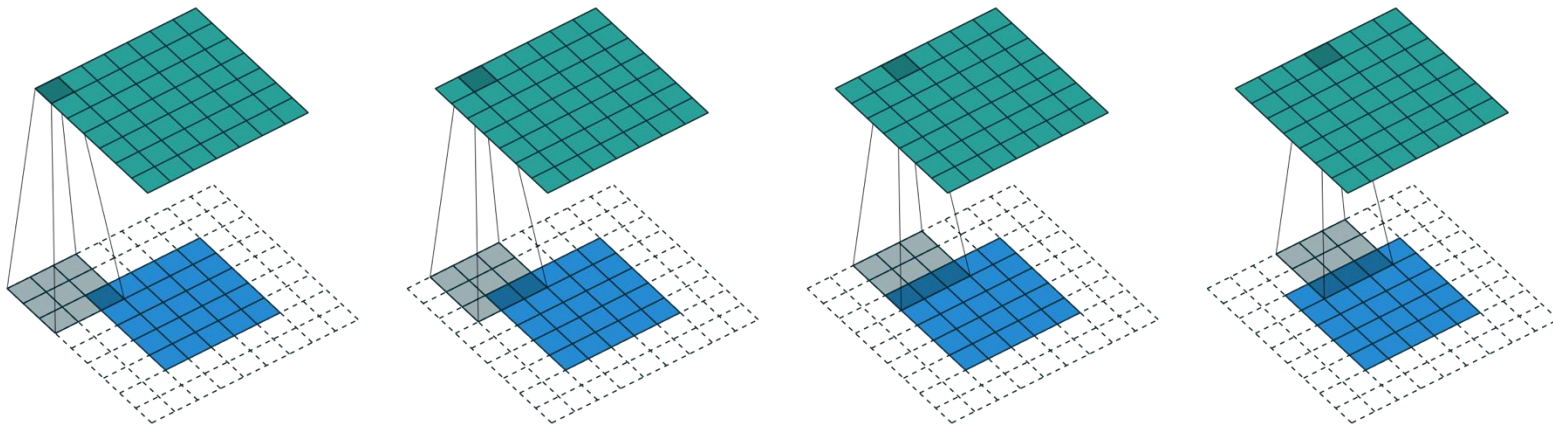


Figure 2.4: (Full padding, no strides) Convolving a  $3 \times 3$  kernel over a  $5 \times 5$  input using full padding and unit strides (i.e.,  $i = 5$ ,  $k = 3$ ,  $s = 1$  and  $p = 2$ ).

other padding conventions exist —  
e.g., “full padding”

output will be larger than input

here,  $5 \times 5 \rightarrow 7 \times 7$



# CONVOLUTION OPERATIONS — PADDING WITH LAYERS

## Padding Layers

`nn.ReflectionPad1d`

Pads the input tensor using the reflection of the input boundary.

`nn.ReflectionPad2d`

Pads the input tensor using the reflection of the input boundary.

`nn.ReplicationPad1d`

Pads the input tensor using replication of the input boundary.

`nn.ReplicationPad2d`

Pads the input tensor using replication of the input boundary.

`nn.ReplicationPad3d`

Pads the input tensor using replication of the input boundary.

`nn.ZeroPad2d`

Pads the input tensor boundaries with zero.

`nn.ConstantPad1d`

Pads the input tensor boundaries with a constant value.

`nn.ConstantPad2d`

Pads the input tensor boundaries with a constant value.

`nn.ConstantPad3d`

Pads the input tensor boundaries with a constant value.

- replication
- reflection
- zero
- constant

PyTorch padding  
layers provide  
greater control

# 2D CONVOLUTION OPERATIONS

3 <sub>0</sub>	3 <sub>1</sub>	2 <sub>2</sub>	1	0
0 <sub>2</sub>	0 <sub>2</sub>	1 <sub>0</sub>	3	1
3 <sub>0</sub>	1 <sub>1</sub>	2 <sub>2</sub>	2	3
2	0	0	2	2
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

3	3 <sub>0</sub>	2 <sub>1</sub>	1 <sub>2</sub>	0
0	0 <sub>2</sub>	1 <sub>2</sub>	3 <sub>0</sub>	1
3	1 <sub>0</sub>	2 <sub>1</sub>	2 <sub>2</sub>	3
2	0	0	2	2
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

3	3	2 <sub>0</sub>	1 <sub>1</sub>	0 <sub>2</sub>
0	0	1 <sub>2</sub>	3 <sub>2</sub>	1 <sub>0</sub>
3	1	2 <sub>0</sub>	2 <sub>1</sub>	3 <sub>2</sub>
2	0	0	2	2
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

kernel

0	1	2
2	2	0
0	1	2

3	3	2	1	0
0 <sub>0</sub>	0 <sub>1</sub>	1 <sub>2</sub>	3	1
3 <sub>2</sub>	1 <sub>2</sub>	2 <sub>0</sub>	2	3
2 <sub>0</sub>	0 <sub>1</sub>	0 <sub>2</sub>	2	2
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

3	3	2	1	0
0	0 <sub>0</sub>	1 <sub>1</sub>	3 <sub>2</sub>	1
3	1 <sub>2</sub>	2 <sub>2</sub>	2 <sub>0</sub>	3
2	0 <sub>0</sub>	0 <sub>1</sub>	2 <sub>2</sub>	2
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

3	3	2	1	0
0	0	1 <sub>0</sub>	3 <sub>1</sub>	1 <sub>2</sub>
3	1	2 <sub>2</sub>	2 <sub>2</sub>	3 <sub>0</sub>
2	0	0 <sub>0</sub>	2 <sub>1</sub>	2 <sub>2</sub>
2	0	0	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

3	3	2	1	0
0	0	1	3	1
3 <sub>0</sub>	1 <sub>1</sub>	2 <sub>2</sub>	2	3
2 <sub>2</sub>	0 <sub>2</sub>	0 <sub>0</sub>	2	2
2 <sub>0</sub>	0 <sub>1</sub>	0 <sub>2</sub>	0	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

3	3	2	1	0
0	0	1	3	1
3	1 <sub>0</sub>	2 <sub>1</sub>	2 <sub>2</sub>	3
2	0 <sub>2</sub>	0 <sub>2</sub>	2 <sub>0</sub>	2
2	0 <sub>0</sub>	0 <sub>1</sub>	0 <sub>2</sub>	1

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

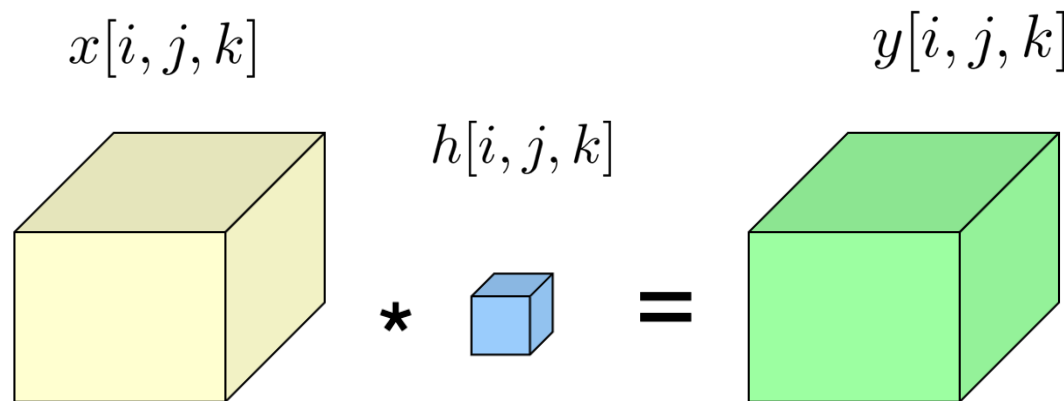
3	3	2	1	0
0	0	1	3	1
3	1	2 <sub>0</sub>	2 <sub>1</sub>	3 <sub>2</sub>
2	0	0 <sub>2</sub>	2 <sub>2</sub>	2 <sub>0</sub>
2	0	0 <sub>0</sub>	0 <sub>1</sub>	1 <sub>2</sub>

12.0	12.0	17.0
10.0	17.0	19.0
9.0	6.0	14.0

detailed example for  
3x3 kernel with no  
padding and 5x5 input

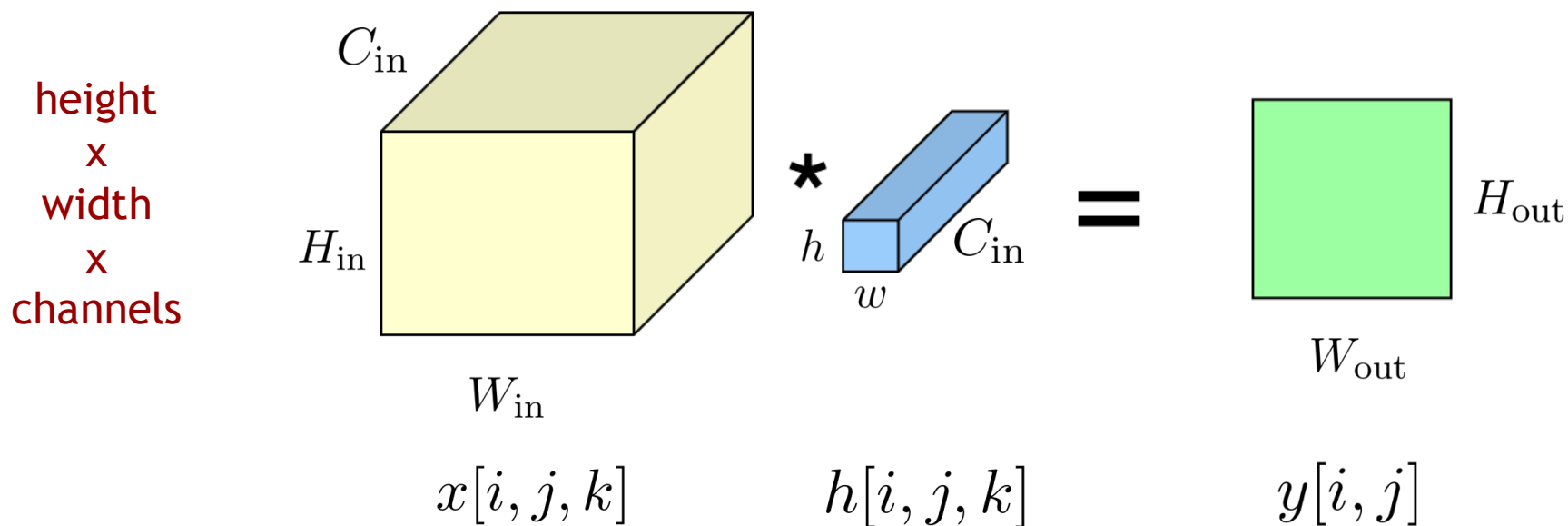
## 3D CONVOLUTION

$$y[i, j, k] = x[i, j, k] \star h[i, j, k] = \sum_{(m,n,o) \in \text{supp}(K)} h[m, n, o] x[i + m, j + n, k + o]$$



“slide”  $h$  over and compute 3D dot product for each output voxel

# CONV2D FILTERING IN DEEP LEARNING

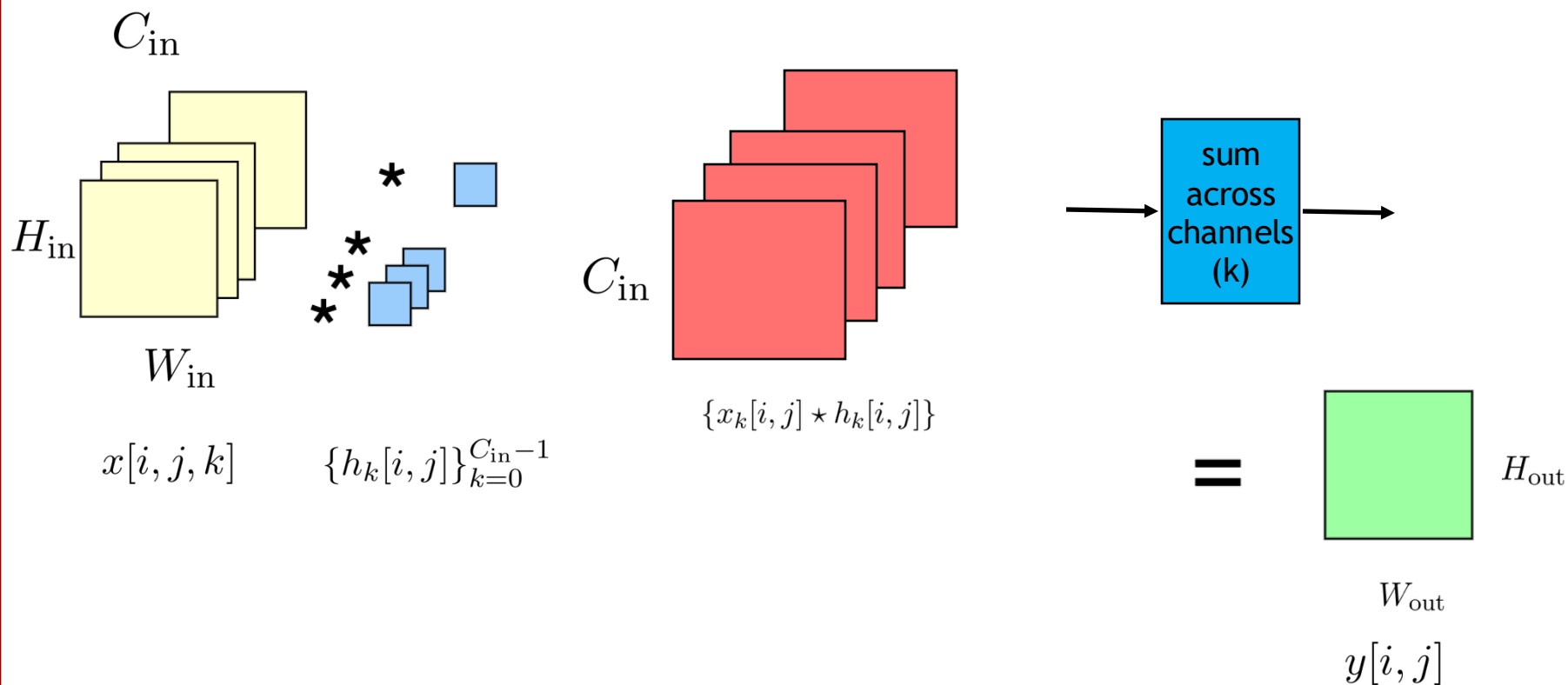


typically,  $h = w \sim 3$

convolution is done with no padding in the depth dimension,  
so at each “shift” a single output pixel is generated



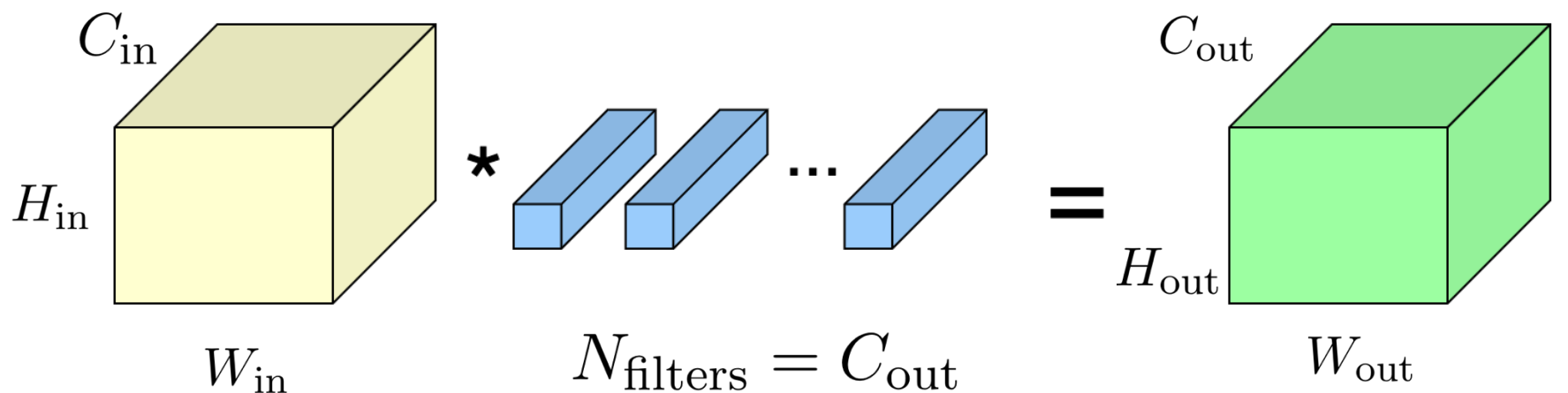
# CONV2D FILTERING IN DEEP LEARNING



typically,  $h = w \sim 3$

functionally equivalent to previous slide

# CONV2D FILTERING IN DEEP LEARNING



$x[i, j, k]$

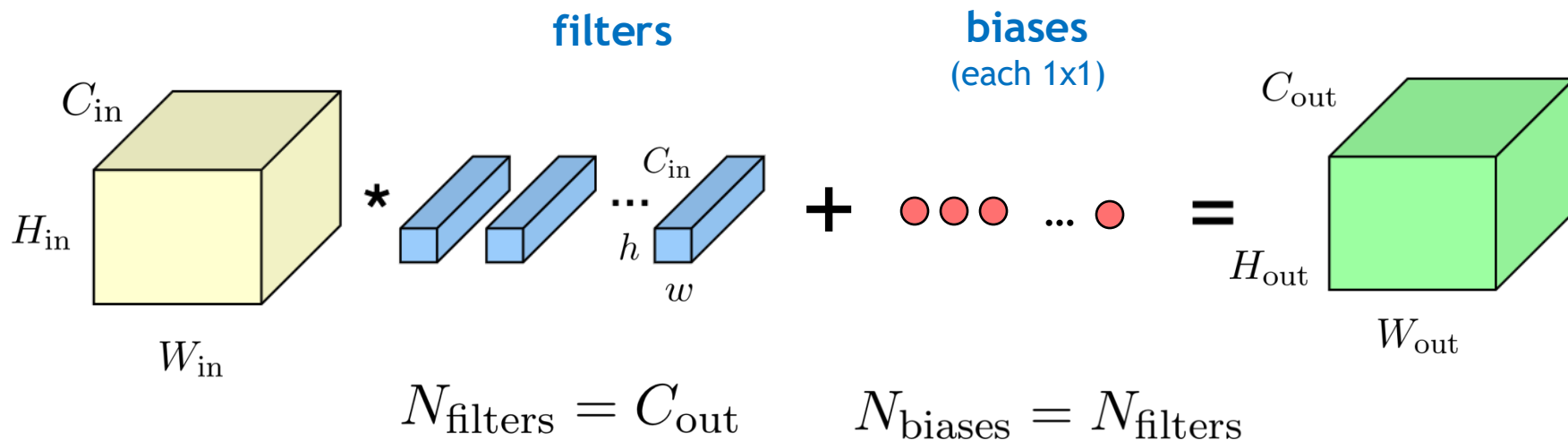
input feature map

height  
x  
width  
x  
channels

$y[i, j, k]$

output feature map

# CONV2D LAYER



$x[i, j, k]$

input feature map

this replaces:

$$\mathbf{y} = \mathbf{W}\mathbf{x} + \mathbf{b}$$

in MLPs — *i.e.*, produces linear activations

$y[i, j, k]$

output feature map

# CONV2D LAYER IN PYTORCH

```
CLASS torch.nn.Conv2d(in_channels: int, out_channels: int, kernel_size: Union[T,
    Tuple[T, T]], stride: Union[T, Tuple[T, T]] = 1, padding: Union[T, Tuple[T, T]] =
    0, dilation: Union[T, Tuple[T, T]] = 1, groups: int = 1, bias: bool = True,
    padding_mode: str = 'zeros') [SOURCE]
```

Applies a 2D convolution over an input signal composed of several input planes.

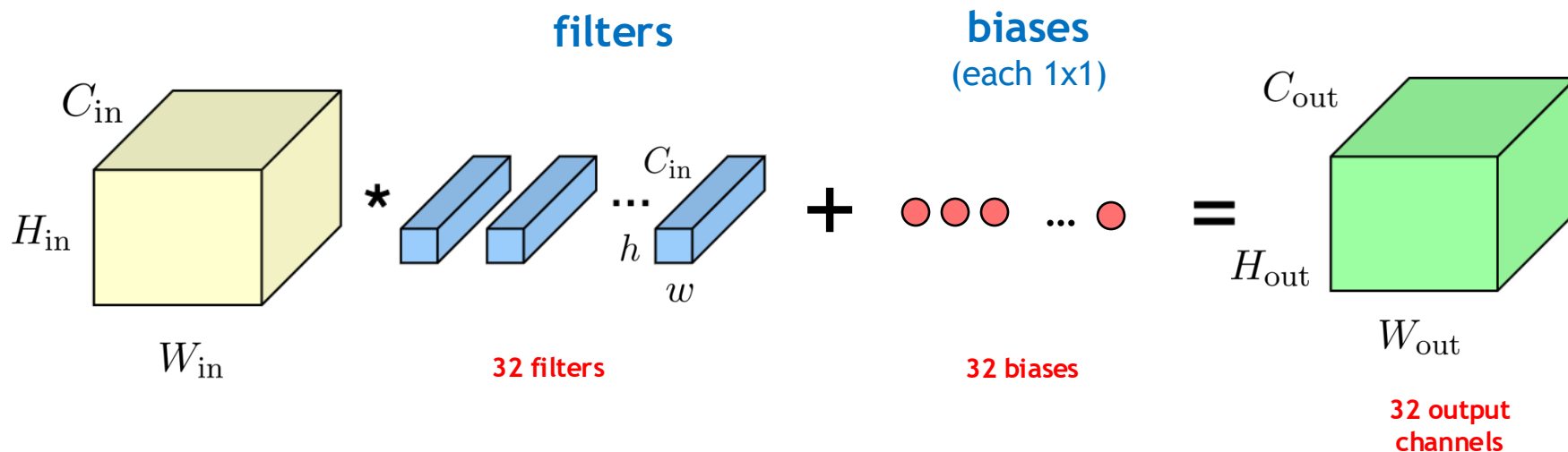
```
nn.Conv2d(3, 32, 3, padding: [1])
```

**32 filters, each  $(H, W, C) = (H, W, D) = (3, 3, C_{in})$**

$$\text{out}(N_i, C_{\text{out}_j}) = \text{bias}(C_{\text{out}_j}) + \sum_{k=0}^{C_{\text{in}}-1} \text{weight}(C_{\text{out}_j}, k) \star \text{input}(N_i, k)$$

# CONV2D LAYER IN PYTORCH

```
nn.Conv2d(16, 32, 3, padding: [1])
```



assume padding="same" and:

$$C_{out} = 32$$

$$C_{in} = 16$$

$$H_{in} = 64$$

$$W_{in} = 64$$

$$h = w = 3$$

input activations (IFM size):  $16 \times 64 \times 64 = 65,536$

output activations (OFM size):  $32 \times 64 \times 64 = 131,072$

filter weights/coefficients:  $32 \times (3 \times 3 \times 16) = 4,608$

biases: 32

Total trainable parameters in this Conv2D: **4,640**





## CONV2D LAYER IN PYTORCH

```
nn.Conv2d(16, 32, 3, padding: [1])
```

input activations (IFM size):  $16 * 64 * 64 = 65,536$

output activations (OFM size):  $32 * 64 * 64 = 131,072$

Total trainable parameters in this Conv2D: **4,640**

how does this compare to a dense layer with  
same number of input/output activations?

$$65,536 * 131,072 + 131,072 = 8,590,065,664$$

why does the Conv2D layer have some many  
fewer trainable parameters?



## PARAMETER REUSE IN CNNs

```
nn.Conv2d(16, 32, 3, padding: [1])
```

Total trainable parameters in this Conv2D: 4,640

Total trainable parameters for comparable dense layer: 8,590,065,664

why does the Conv2D layer have so many fewer trainable parameters?

**parameters are reused!!**

each filter is used many times over the input feature map

**sparse connectivity**

output  $(i, j)$  depend only on inputs in neighborhood of  $(i, j)$

“Positive” View: CNNs have fewer parameters than MLPs with same number of activations

“Negative” View: CNNs do more computations per trainable parameter



## TWO KEY CNN CONCEPTS

**Localized features in the inputs**

(e.g., natural images)

**Parameter Reuse**

(e.g., filter is used many times over input feature map)



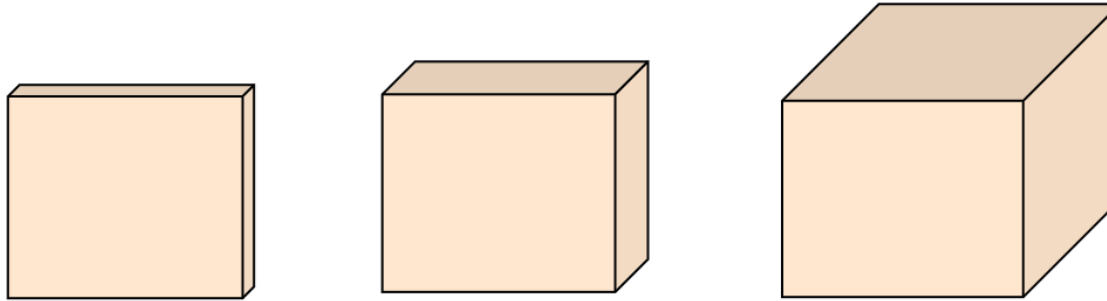
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# POOLING AND STRIDE

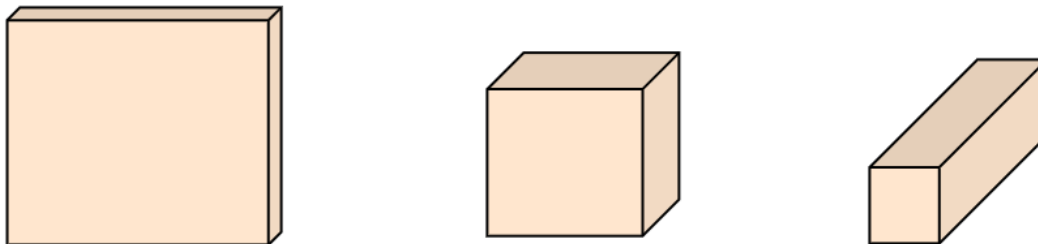
# TYPICAL CNN STRUCTURES/PATTERNS



more channels as you go deeper

need to manage this —  
*i.e.*, reduce height and width

**doubling  
number of  
channels is  
common**



need some kind of “down-sampling”

## DOWN-SAMPLING: STRIDE > 1

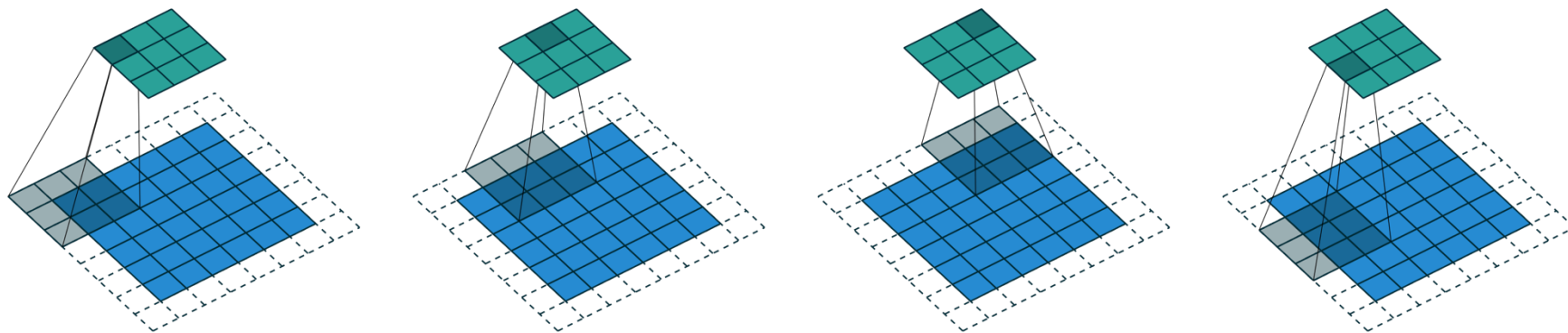


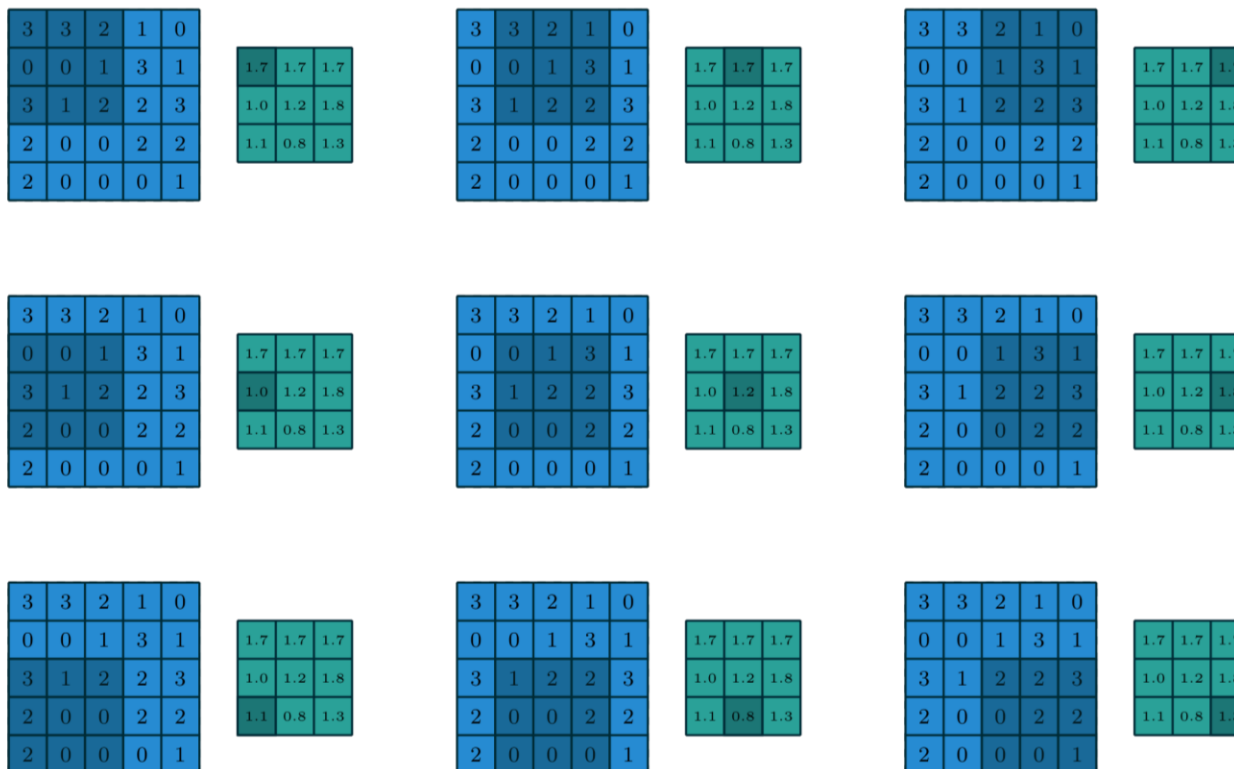
Figure 2.7: (Arbitrary padding and strides) Convolving a  $3 \times 3$  kernel over a  $6 \times 6$  input padded with a  $1 \times 1$  border of zeros using  $2 \times 2$  strides (i.e.,  $i = 6$ ,  $k = 3$ ,  $s = 2$  and  $p = 1$ ). In this case, the bottom row and right column of the zero padded input are not covered by the kernel.

convolution, but the stride is >1

reduces  $H, W$



## DOWN-SAMPLING: AVERAGE POOLING



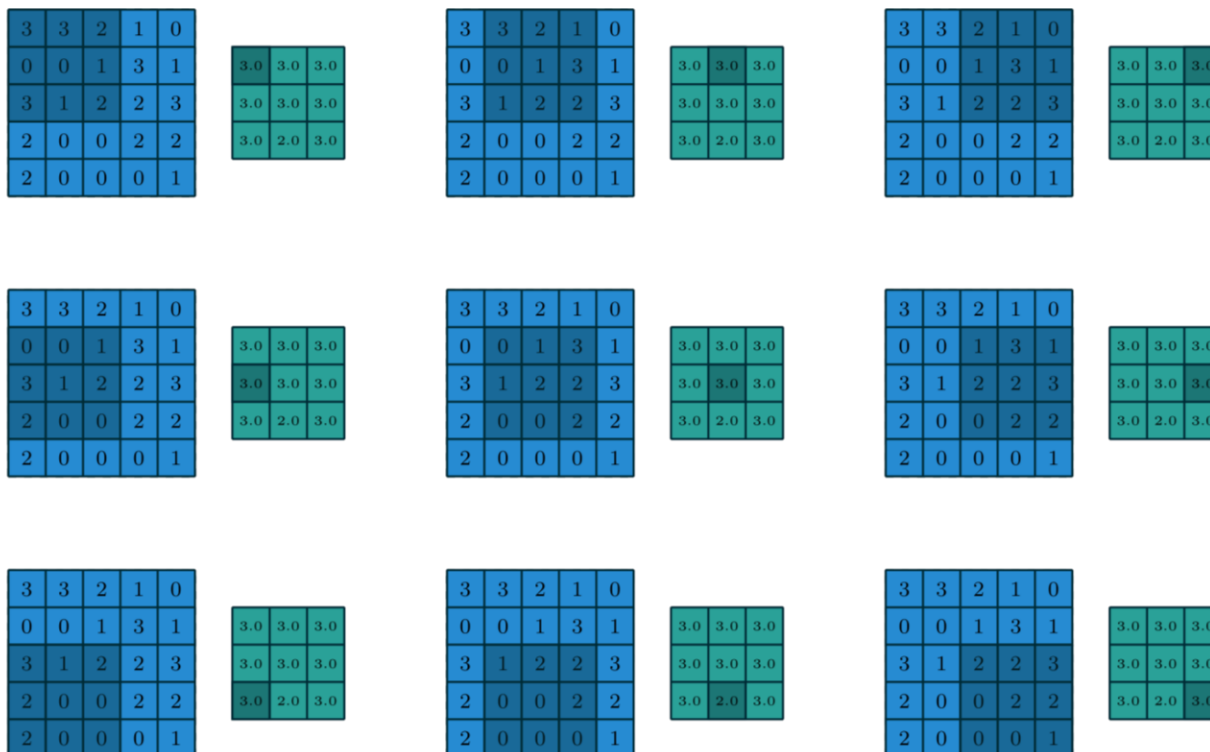
average pooling  
layer

like convolution  
w/o padding and  
1/9 for all 3x3  
fixed kernel  
coefficients  
& stride = pool\_size

reduces  $H, W$

Figure 1.5: Computing the output values of a  $3 \times 3$  average pooling operation on a  $5 \times 5$  input using  $1 \times 1$  strides.

# DOWN-SAMPLING: MAX POOLING



max pooling layer

like convolution,  
but take max  
element in  
kernel support  
& stride = pool\_size

reduces  $H, W$

Figure 1.6: Computing the output values of a  $3 \times 3$  max pooling operation on a  $5 \times 5$  input using  $1 \times 1$  strides.

## MAX POOLING EXAMPLE — KERNEL SIZE = (2,2)

```
1 import numpy as np
2 import torch
3 import torch.nn as nn
4
5 layer = nn.MaxPool2d(2)
6
7 test_input = torch.tensor(np.arange(100).reshape((1, 1, 10, 10)).astype(float))
8 test_output = layer(test_input)
9
10 print(test_input)
11 print(test_output)
```

```
tensor([[[[ 0.,  1.,  2.,  3.,  4.,  5.,  6.,  7.,  8.,  9.],
           10., 11., 12., 13., 14., 15., 16., 17., 18., 19.],
          20., 21., 22., 23., 24., 25., 26., 27., 28., 29.],
         30., 31., 32., 33., 34., 35., 36., 37., 38., 39.],
        40., 41., 42., 43., 44., 45., 46., 47., 48., 49.],
        50., 51., 52., 53., 54., 55., 56., 57., 58., 59.],
        60., 61., 62., 63., 64., 65., 66., 67., 68., 69.],
        70., 71., 72., 73., 74., 75., 76., 77., 78., 79.],
        80., 81., 82., 83., 84., 85., 86., 87., 88., 89.],
        90., 91., 92., 93., 94., 95., 96., 97., 98., 99.] ] ]],
        dtype=torch.float64)
tensor([[[[11., 13., 15., 17., 19.],
          31., 33., 35., 37., 39.],
         51., 53., 55., 57., 59.],
        71., 73., 75., 77., 79.],
        91., 93., 95., 97., 99.] ] ]], dtype=torch.float64)
```



# DOWN-SAMPLING IN PYTORCH

<https://pytorch.org/docs/stable/generated/torch.nn.Conv2d.html>

dilation is  
“spreading” the  
2D kernel values  
over larger filed  
of view

```
nn.Conv2d(  
    in_channels: int, out_channels: int,  
    kernel_size: Union[T, Tuple[T, T]],  
    stride: Union[T, Tuple[T, T]] = 1,  
    padding: Union[T, Tuple[T, T]] = 0,  
    dilation: Union[T, Tuple[T, T]] = 1,  
    padding_mode: str = 'zeros',  
    groups: int = 1, bias: bool = True  
)
```

<https://pytorch.org/docs/stable/generated/torch.nn.AvgPool2d.html>

default strides  
for max/avg pooling  
is kernel\_size

```
nn.AvgPool2d(  
    kernel_size = (2, 2),  
    padding = (1, 1)  
)
```

## DILATION IN CONV2D

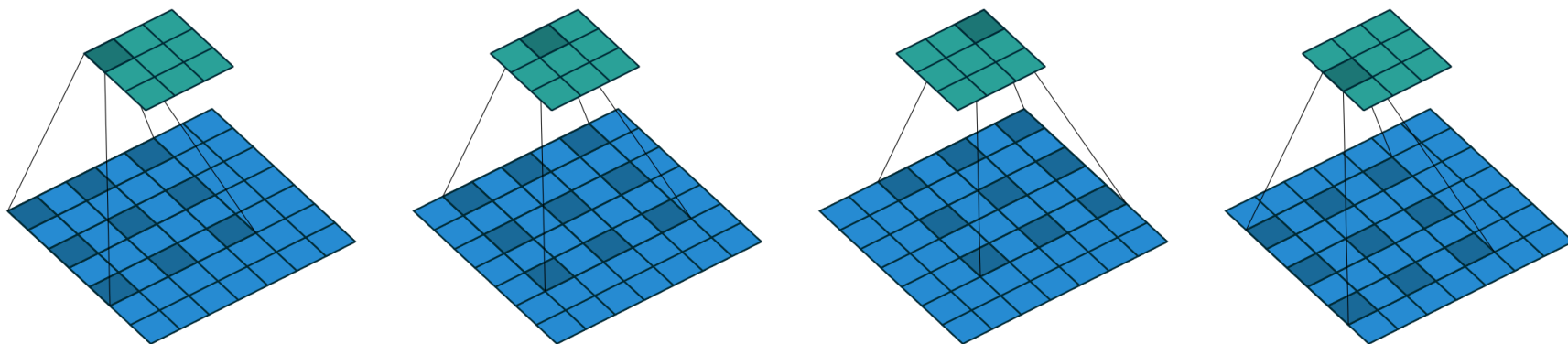


Figure 5.1: (Dilated convolution) Convoluting a  $3 \times 3$  kernel over a  $7 \times 7$  input with a dilation factor of 2 (i.e.,  $i = 7$ ,  $k = 3$ ,  $d = 2$ ,  $s = 1$  and  $p = 0$ ).

not as common

**`nn.Conv2d(dilation: n)`**



# OUTLINE FOR SLIDES

- Motivation, applications
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- Outline of Back-propagation for CNNs



# EXAMPLE



# LET'S JUMP IN... PYTORCH

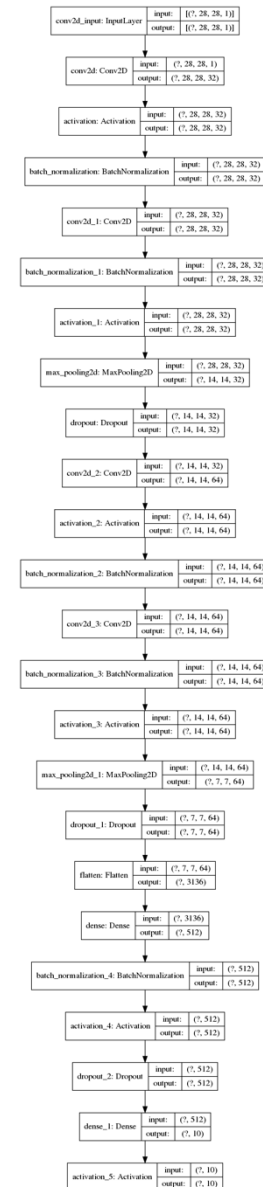
Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 28, 28, 32)	320
activation (Activation)	(None, 28, 28, 32)	0
batch_normalization (Batch Normalization)	(None, 28, 28, 32)	128
conv2d_1 (Conv2D)	(None, 28, 28, 32)	9248
activation_1 (Activation)	(None, 28, 28, 32)	0
batch_normalization_1 (Batch Normalization)	(None, 28, 28, 32)	128
max_pooling2d (MaxPooling2D)	(None, 14, 14, 32)	0
dropout (Dropout)	(None, 14, 14, 32)	0
conv2d_2 (Conv2D)	(None, 14, 14, 64)	18496
activation_2 (Activation)	(None, 14, 14, 64)	0
batch_normalization_2 (Batch Normalization)	(None, 14, 14, 64)	256
conv2d_3 (Conv2D)	(None, 14, 14, 64)	36928
activation_3 (Activation)	(None, 14, 14, 64)	0
batch_normalization_3 (Batch Normalization)	(None, 14, 14, 64)	256
max_pooling2d_1 (MaxPooling2D)	(None, 7, 7, 64)	0
dropout_1 (Dropout)	(None, 7, 7, 64)	0
flatten (Flatten)	(None, 3136)	0
dense (Dense)	(None, 512)	1606144
activation_4 (Activation)	(None, 512)	0
batch_normalization_4 (Batch Normalization)	(None, 512)	2048
dropout_2 (Dropout)	(None, 512)	0
dense_1 (Dense)	(None, 10)	5130
activation_5 (Activation)	(None, 10)	0

Total params: 1,679,082  
Trainable params: 1,677,674  
Non-trainable params: 1,408

fmnist\_cnn.py

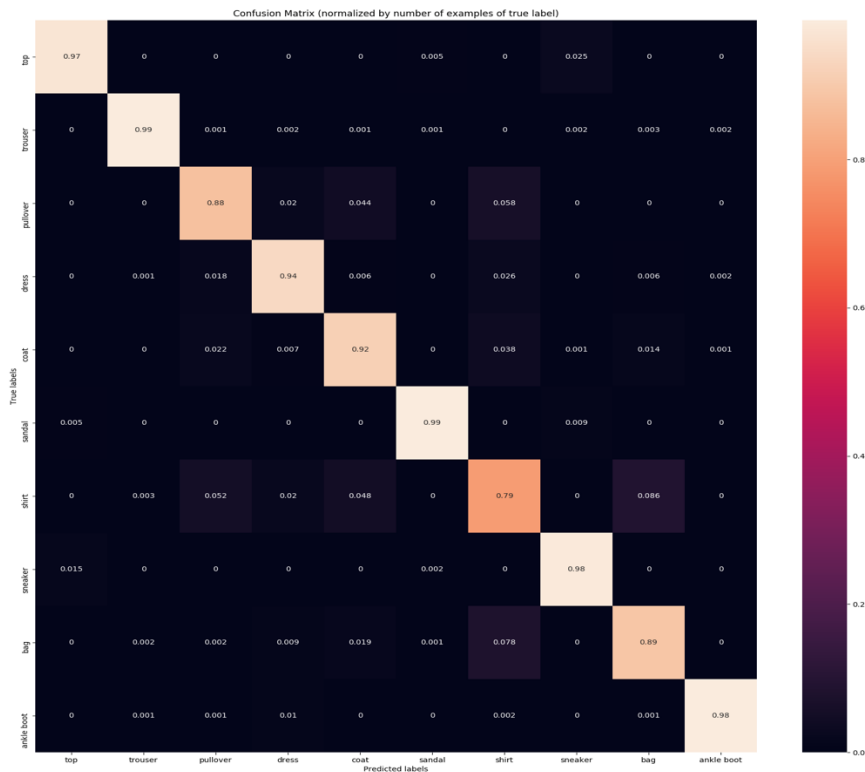
This achieves ~ 93.5% accuracy  
on Fashion MNSIT

(compare to ~88% with MLP)

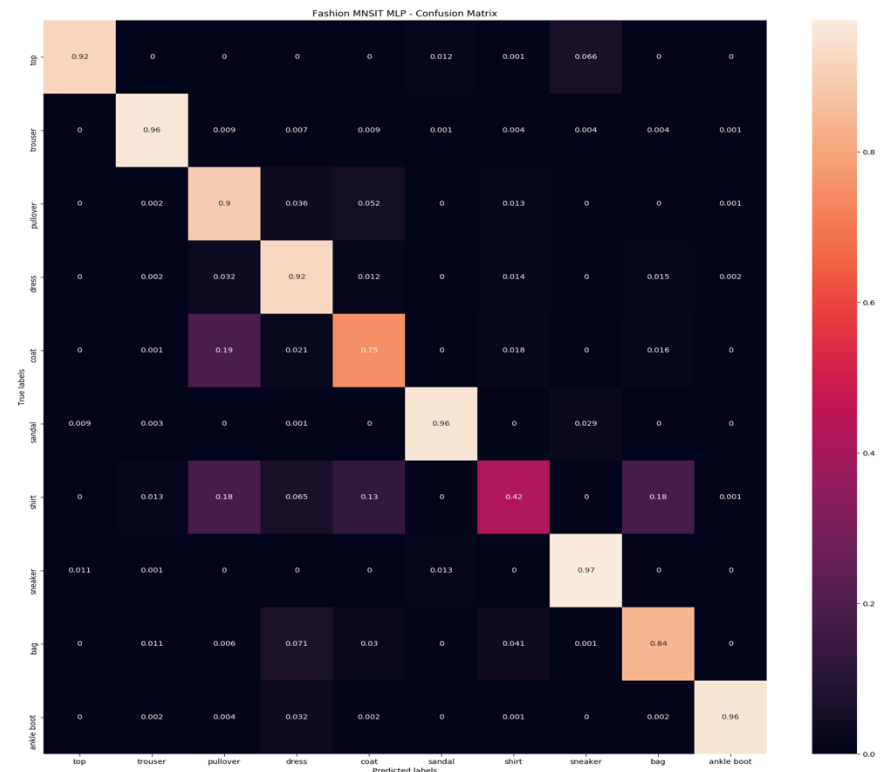


# LET'S JUMP IN... PYTORCH

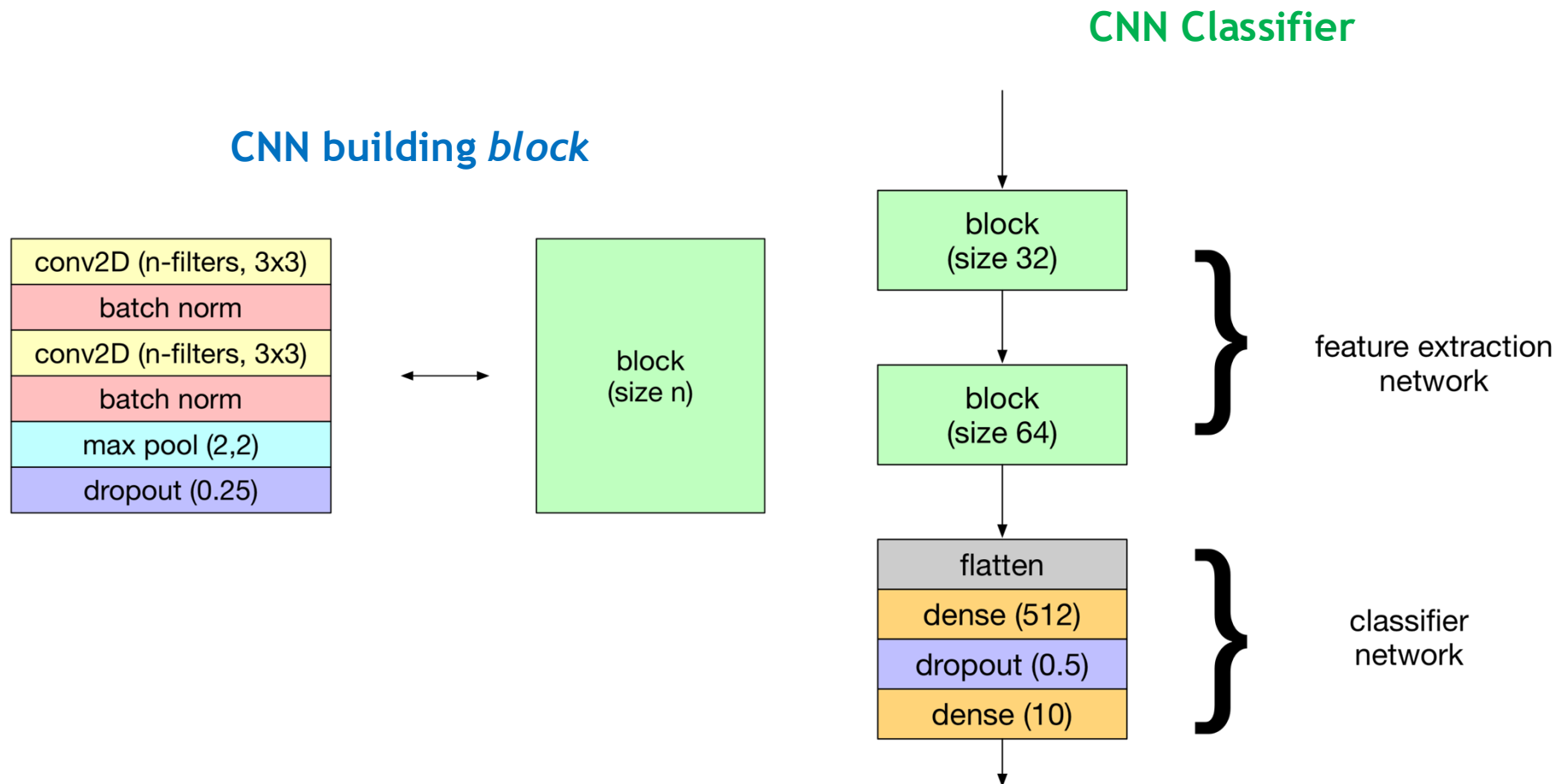
## CNN



## MLP



# THIS IS A TYPICAL BLOCK-BASED CNN PATTERN





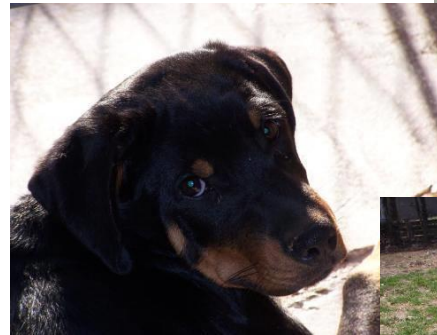
# OUTLINE FOR SLIDES

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# VISUALIZATION

# DOGS VS. CATS 😊



# DOGS VS. CATS 😊

Dataset available here

<https://www.kaggle.com/c/dogs-vs-cats>

let's explore a simple CNN and see if we can get some insight into what the filters are looking for and how they respond to a given input image





# DOGS-V-CATS: CATS AND DOGS – CNN.IPYNB

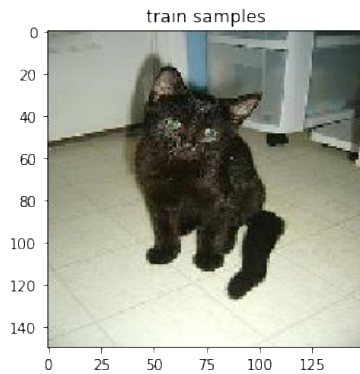
Layer (type)	Output Shape	Param #
=====		
Conv2d-1	[-1, 32, 150, 150]	896
Conv2d-2	[-1, 64, 150, 150]	18,496
MaxPool2d-3	[-1, 64, 75, 75]	0
Conv2d-4	[-1, 128, 75, 75]	73,856
Conv2d-5	[-1, 128, 75, 75]	147,584
MaxPool2d-6	[-1, 128, 37, 37]	0
Conv2d-7	[-1, 256, 37, 37]	295,168
Conv2d-8	[-1, 512, 37, 37]	1,180,160
MaxPool2d-9	[-1, 512, 18, 18]	0
Conv2d-10	[-1, 512, 18, 18]	2,359,808
Conv2d-11	[-1, 512, 18, 18]	2,359,808
MaxPool2d-12	[-1, 512, 8, 8]	0
Dropout2d-13	[-1, 32768]	0
Linear-14	[-1, 512]	16,777,728
Linear-15	[-1, 1]	513
=====		

Total params: 23,214,017

Trainable params: 23,214,017

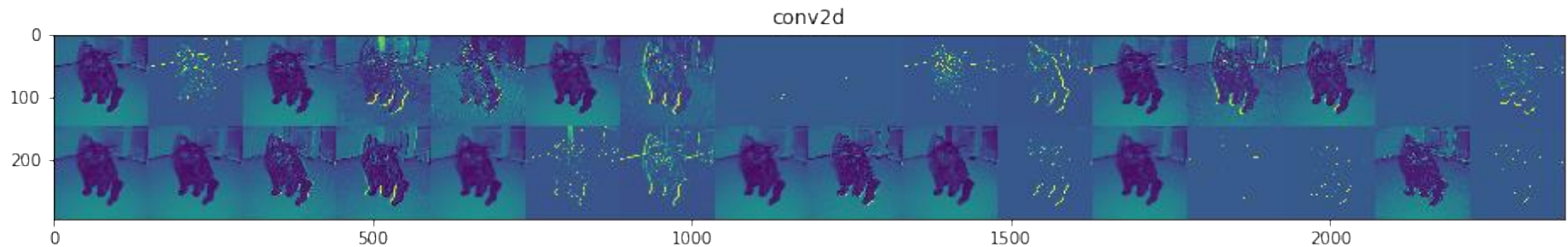
Non-trainable params: 0

# DOGS-V-CATS: VISUALIZING CNN FEATURE MAPS

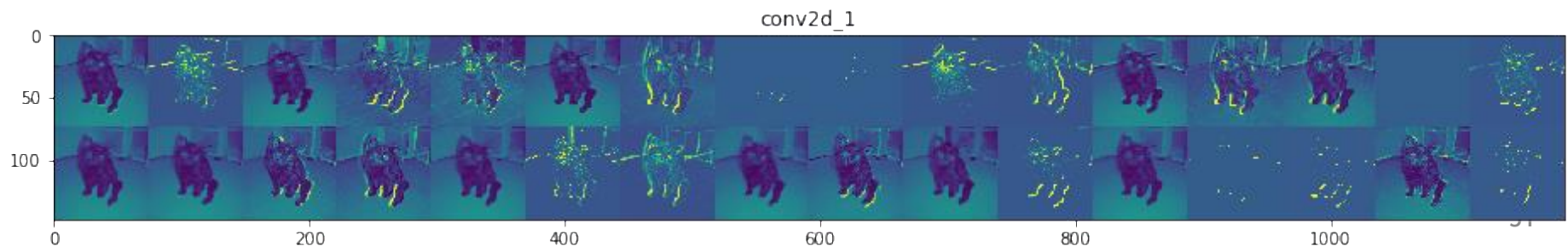


Cats and Dogs - viz.ipynb

1st conv2D



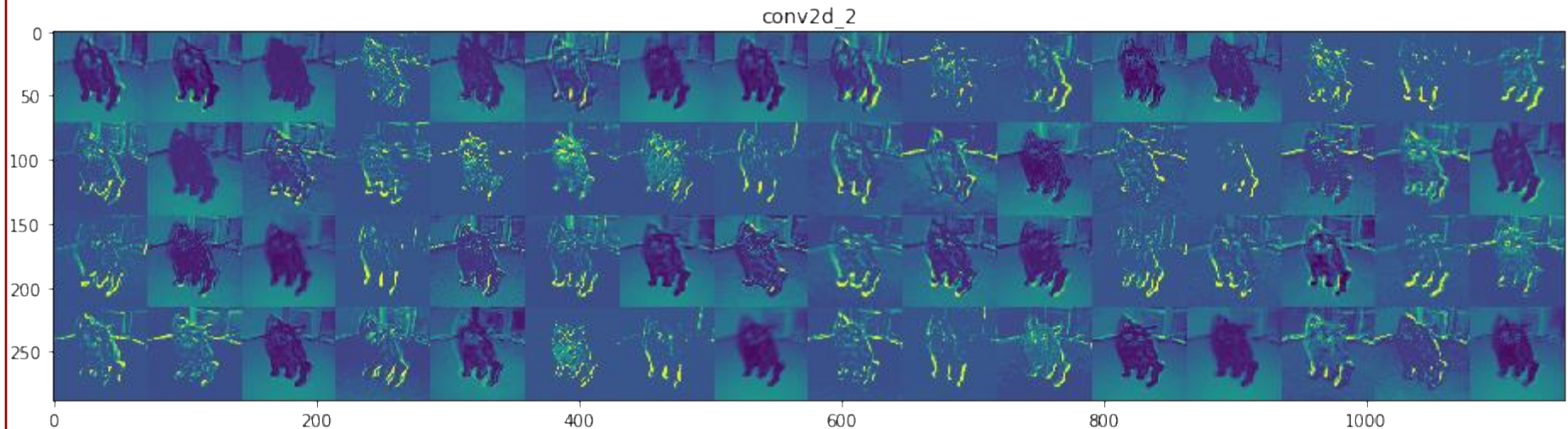
2nd conv2D



# DOGS-V-CATS: VISUALIZING CNN FEATURE MAPS

Cats and Dogs - viz.ipynb

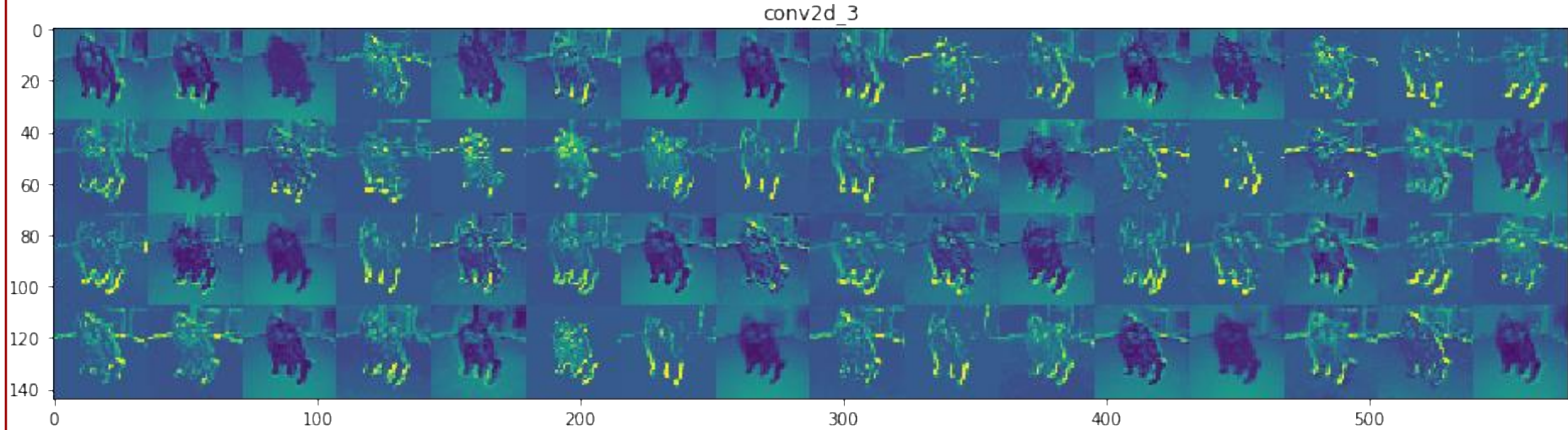
3rd conv2D



# DOGS-V-CATS: VISUALIZING CNN FEATURE MAPS

Cats and Dogs - viz.ipynb

4th conv2D

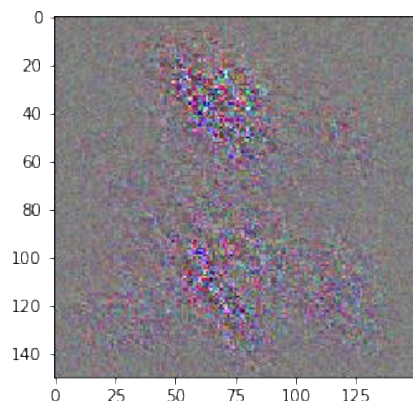




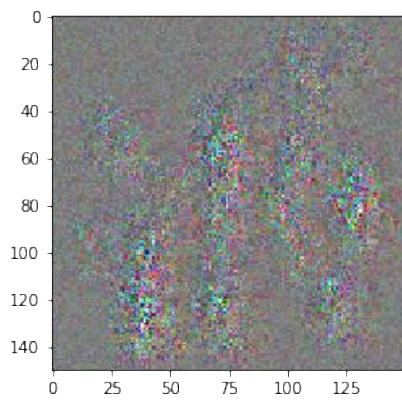
# DOGS-V-CATS: MAX FILTER RESPONSE

train an input image so that it maximizes  
the output energy in a particular filter

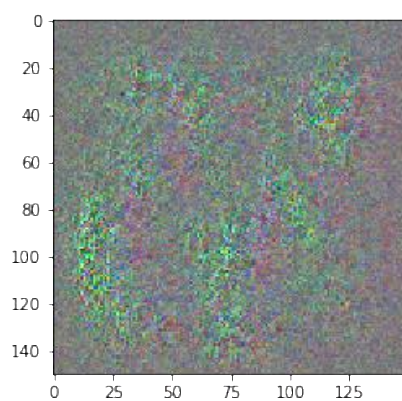
Cats and Dogs - viz.ipynb



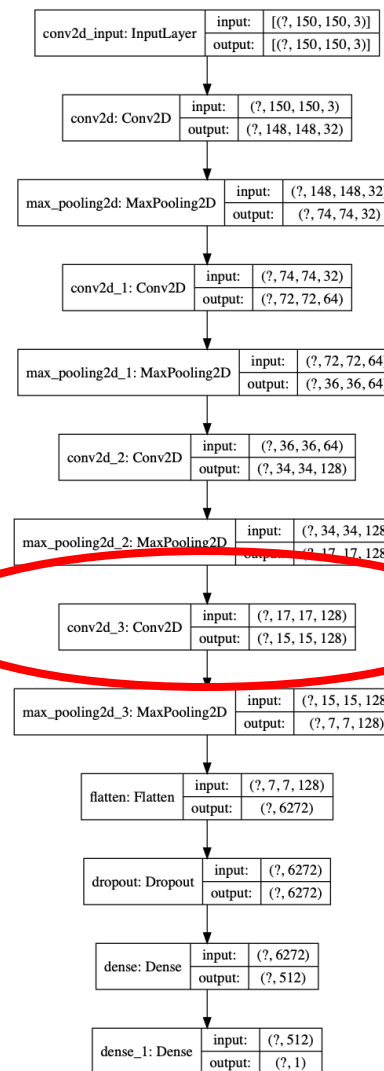
channel 16



channel 71



channel 121



# CNN VISUALIZATION: GRAD-CAM

## Gradient Weighted Class Activation Mapping

pyimagesearch tutorial (keras)

demo

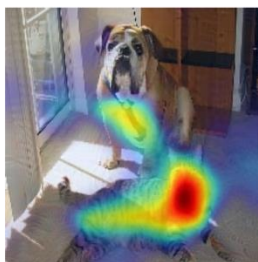
<https://github.com/kazuto1011/grad-cam-pytorch>



Boxer: 0.4 Cat: 0.2  
(a) Original image

Airliner: 0.9999  
(b) Adversarial image

Boxer: 1.1e-20  
(c) Grad-CAM "Dog"



Tiger Cat: 6.5e-17  
(d) Grad-CAM "Cat"



Airliner: 0.9999  
(e) Grad-CAM "Airliner"



Space shuttle: 1e-5  
(f) Grad-CAM "Space Shuttle"

Patch size	10x10	15x15	25x25	35x35	45x45	90x90
"boxer" sensitivity						
"bull mastiff" sensitivity						
"tiger cat" sensitivity						

see where a layer is "looking" for a given class



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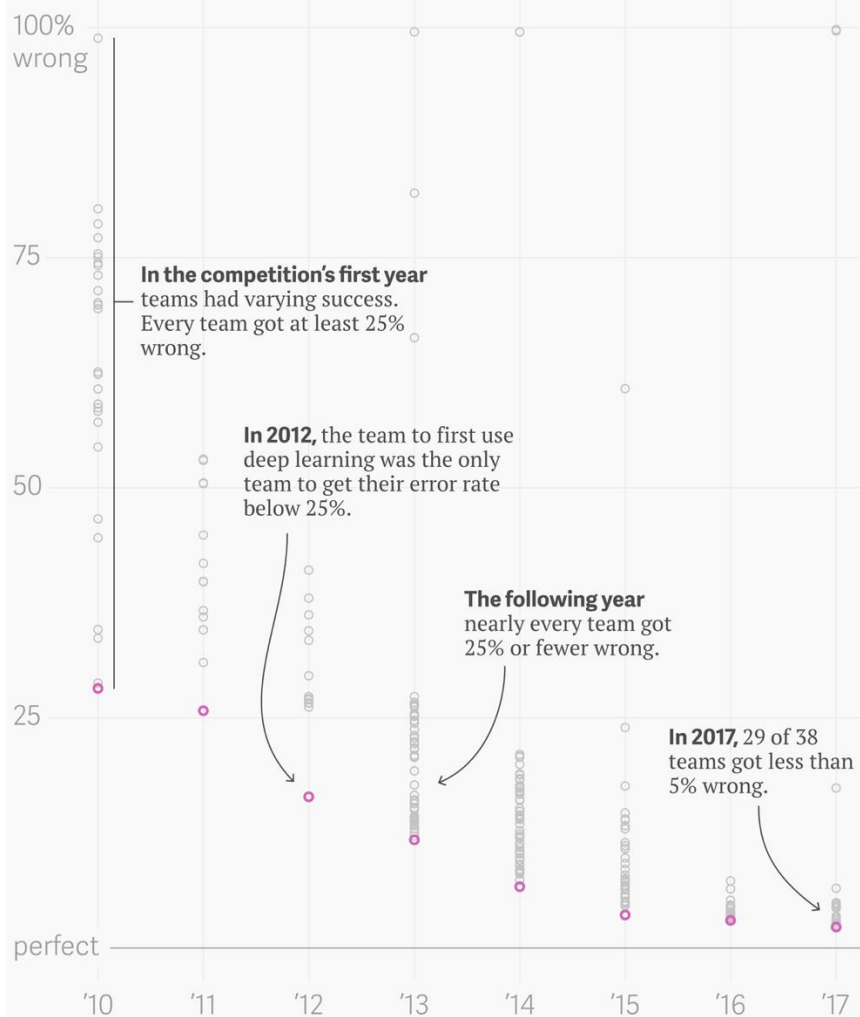


# BLOCK STRUCTURES



# CNNs: USE WHEN FEATURE INFORMATION IS LOCALIZED

ImageNet Large Scale Visual Recognition Challenge results



David Yanofsky | Quartz

Data: ImageNet

## 2012: AlexNet

- ~60M parameters
- 16.4% top-5 error

## 2014: VGG

- ~140M parameters
- 10% top-5 error

## 2015: Inception (aka GoogLeNet)

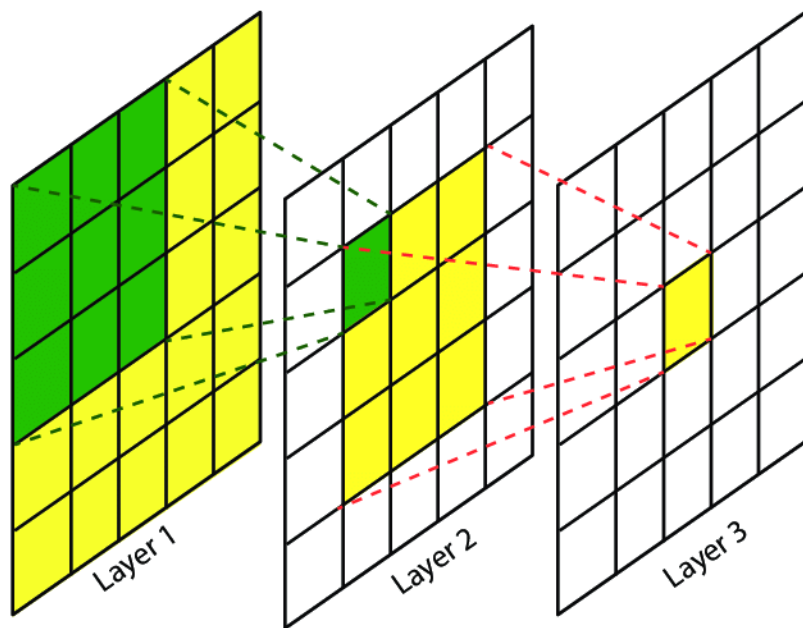
- ~4M parameters
- ~7% top-5 error

## 2015 ResNet

- ~60M parameters
- ~7% top-5 error

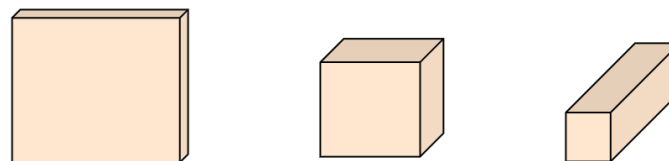
The leap that transformed AI research—  
and possibly the world

## RECEPTIVE FIELD AS WE GO DEEPER



deeper in the network, each pixel in the feature map can “see” more of the input image

reason why height and width of the feature map can be reduced as we go deeper



*deeper into the network*

# RECEPTIVE FIELD AS WE GO DEEPER

simple script to find input pixels that can affect output pixels for a specific CNN architecture (pytorch-receptive-field)

```
class Net(nn.Module):
    def __init__(self):
        super(Net, self).__init__()
        self.conv = nn.Conv2d(3, 64, kernel_size=7, stride=2, padding=3, bias=False)
        self.bn = nn.BatchNorm2d(64)
        self.relu = nn.ReLU(inplace=True)
        self.maxpool = nn.MaxPool2d(kernel_size=3, stride=2, padding=1)

    def forward(self, x):
        y = self.conv(x)
        y = self.bn(y)
        y = self.relu(y)
        y = self.maxpool(y)
        return y
```

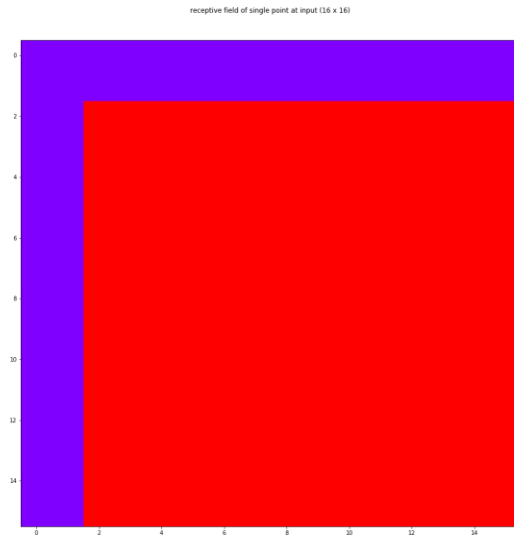
```
receptive_field_dict = receptive_field(model, (3, 256, 256))
receptive_field_for_unit(receptive_field_dict, '2', (2,2))
```

Layer (type)	map size	start	jump	receptive_field
0	[256, 256]	0.5	1.0	1.0
1	[128, 128]	0.5	2.0	7.0
2	[128, 128]	0.5	2.0	7.0
3	[128, 128]	0.5	2.0	7.0
4	[64, 64]	0.5	4.0	11.0

Receptive field size for layer 2, unit\_position (1, 1), is  
[(0, 6.0), (0, 6.0)]

# RECEPTIVE FIELD AS WE GO DEEPER

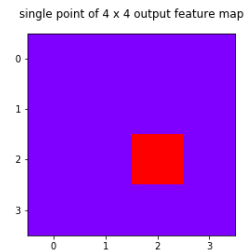
simple script to find input pixels that can affect output pixels for a specific CNN architecture



inverse image



receptive field



this could also be computed by hand  
by book-keeping the inverse image of  
each conv2D and pool layer

pytorch-receptive-field

# POPULAR CNN ARCHITECTURES/PATTERNS

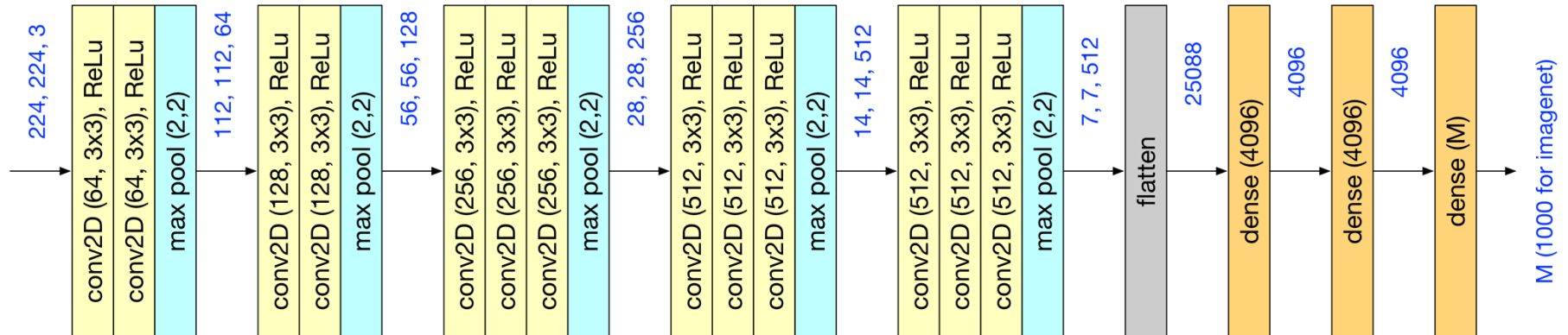
There are pretrained ImageNet models in PyTorch  
(“*model-zoo*”)

```
import torchvision.models as models
resnet18 = models.resnet18(pretrained=True)
alexnet = models.alexnet(pretrained=True)
squeezenet = models.squeezenet1_0(pretrained=True)
vgg16 = models.vgg16(pretrained=True)
densenet = models.densenet161(pretrained=True)
inception = models.inception_v3(pretrained=True)
googlenet = models.googlenet(pretrained=True)
shufflenet = models.shufflenet_v2_x1_0(pretrained=True)
mobilenet = models.mobilenet_v2(pretrained=True)
resnext50_32x4d = models.resnext50_32x4d(pretrained=True)
wide_resnet50_2 = models.wide_resnet50_2(pretrained=True)
mnasnet = models.mnasnet1_0(pretrained=True)
```

Model	Size	Top-1 Accuracy	Top-5 Accuracy	Parameters	Depth
Xception	88 MB	0.790	0.945	22,910,480	126
VGG16	528 MB	0.713	0.901	138,357,544	23
VGG19	549 MB	0.713	0.900	143,667,240	26
ResNet50	98 MB	0.749	0.921	25,636,712	-
ResNet101	171 MB	0.764	0.928	44,707,176	-
ResNet152	232 MB	0.766	0.931	60,419,944	-
ResNet50V2	98 MB	0.760	0.930	25,613,800	-
ResNet101V2	171 MB	0.772	0.938	44,675,560	-
ResNet152V2	232 MB	0.780	0.942	60,380,648	-
InceptionV3	92 MB	0.779	0.937	23,851,784	159
InceptionResNetV2	215 MB	0.803	0.953	55,873,736	572
MobileNet	16 MB	0.704	0.895	4,253,864	88
MobileNetV2	14 MB	0.713	0.901	3,538,984	88
DenseNet121	33 MB	0.750	0.923	8,062,504	121
DenseNet169	57 MB	0.762	0.932	14,307,880	169
DenseNet201	80 MB	0.773	0.936	20,242,984	201
NASNetMobile	23 MB	0.744	0.919	5,326,716	-
NASNetLarge	343 MB	0.825	0.960	88,949,818	-

The top-1 and top-5 accuracy refers to the model's performance on the ImageNet validation dataset.

# COMMON CNN ARCHITECTURE PATTERNS - VGG16



## COMMON CNN ARCHITECTURE PATTERNS – RESNET(S)

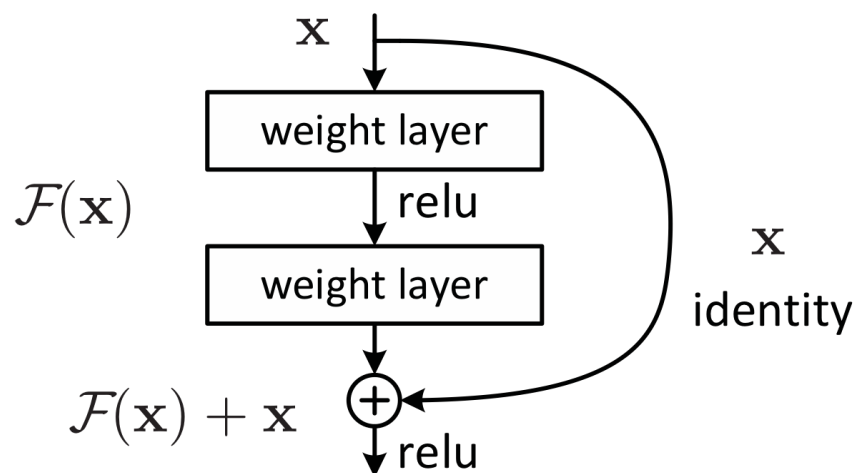


Figure 2. Residual learning: a building block.

**residual connections:**

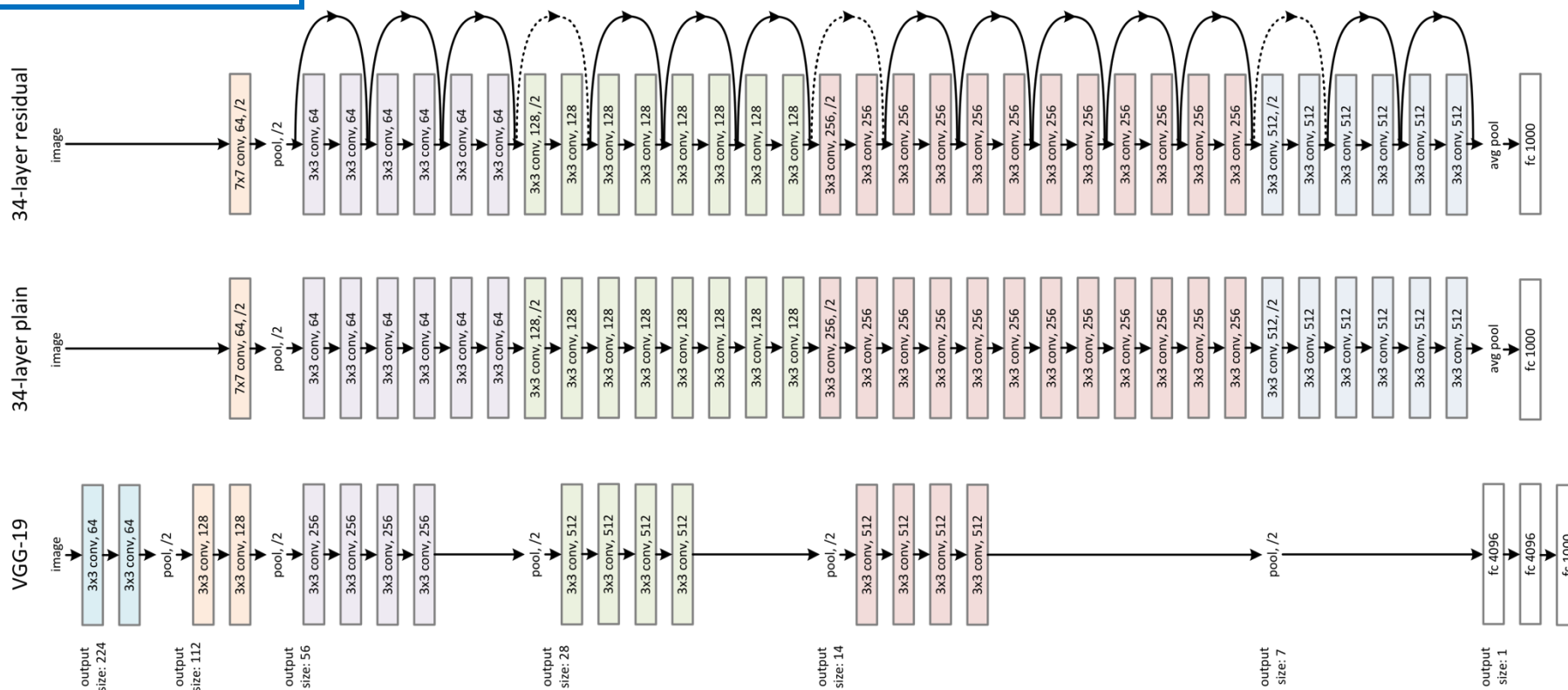
aid in gradient flow  
(reduce vanishing gradient)

allow learning of  
“alternative” networks

– e.g., can learn to bypass  
the two “weight layers” in  
this figure

# COMMON CNN ARCHITECTURE PATTERNS – RESNET(S)

## ResNet34





## COMMON CNN ARCHITECTURE PATTERNS – RESNET(S)

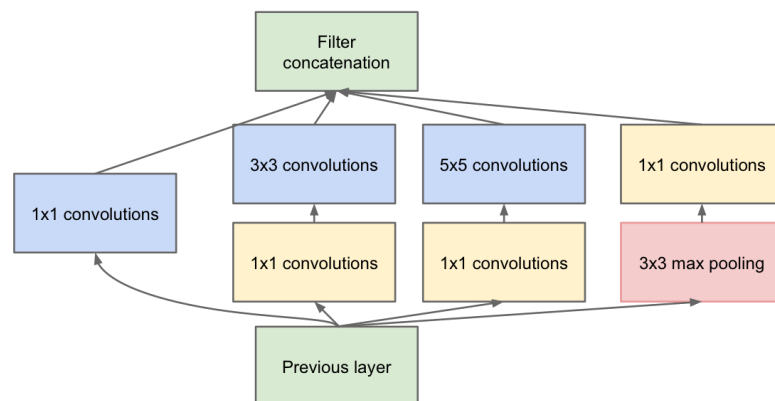
method	top-1 err.	top-5 err.
VGG [41] (ILSVRC'14)	-	8.43 <sup>†</sup>
GoogLeNet [44] (ILSVRC'14)	-	7.89
VGG [41] (v5)	24.4	7.1
PReLU-net [13]	21.59	5.71
BN-inception [16]	21.99	5.81
ResNet-34 B	21.84	5.71
ResNet-34 C	21.53	5.60
ResNet-50	20.74	5.25
ResNet-101	19.87	4.60
ResNet-152	<b>19.38</b>	<b>4.49</b>

Note:  
there are v2  
versions of  
these

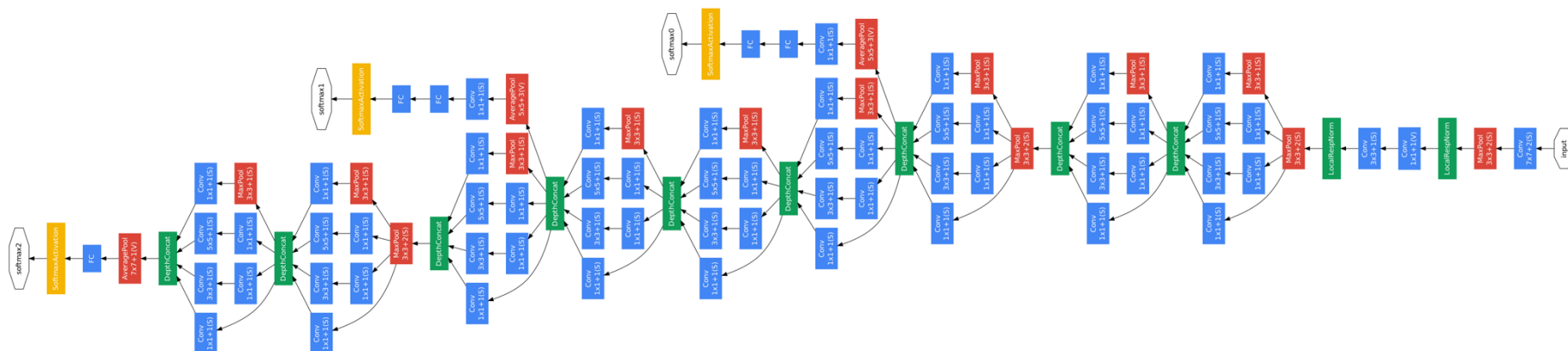
Table 4. Error rates (%) of **single-model** results on the ImageNet validation set (except <sup>†</sup> reported on the test set).

# COMMON CNN ARCHITECTURE PATTERNS - INCEPTION

aka GoogLeNet

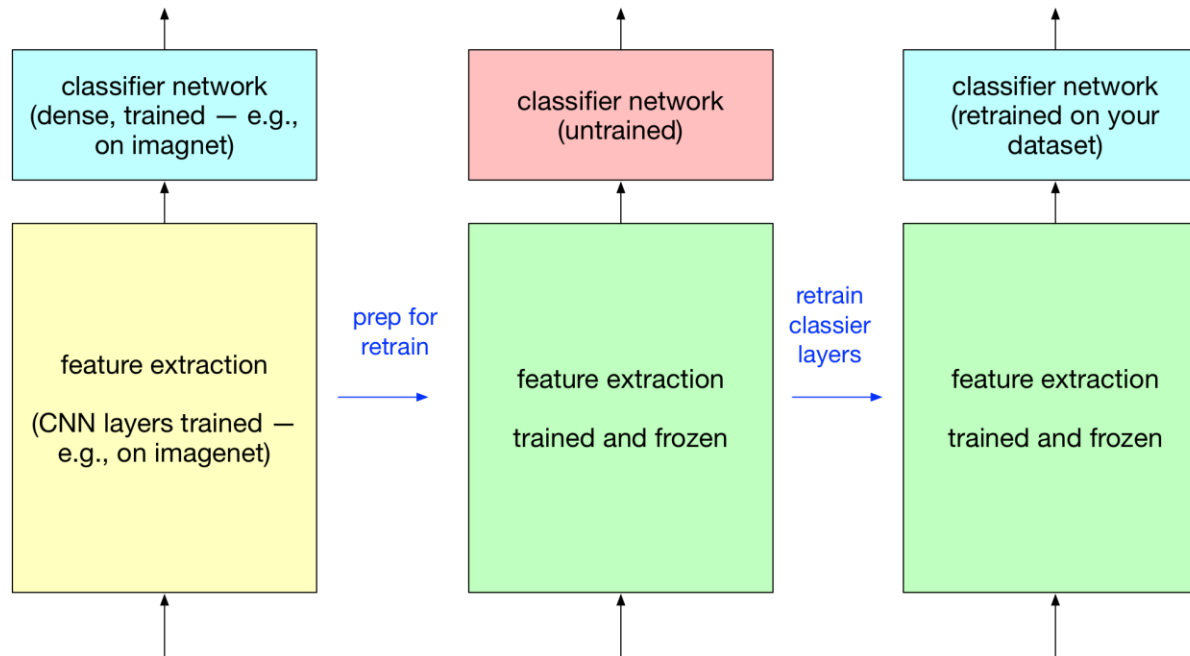


(b) Inception module with dimensionality reduction



Szegedy, Christian, et al. "Going deeper with convolutions." Proceedings of the IEEE conference on computer vision and pattern recognition. 2015.

## USING FIXED CNN LAYERS FOR A DIFFERENT CV TASK

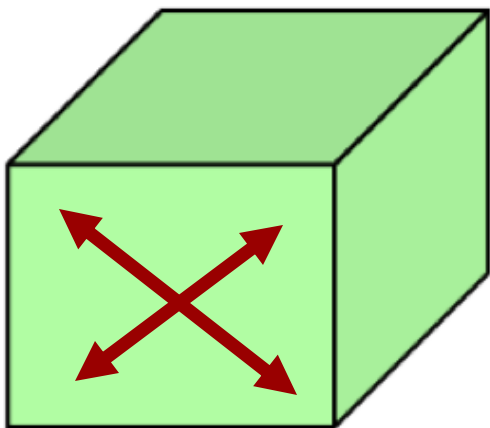


features needed for many CV tasks are similar to Imagenet classification features

you can reuse all or part of the feature extraction network

```
import torchvision.models as models  
model = models.resnet50(pretrained=True)
```

## ONE LAST LAYER TYPE: GLOBAL POOLING



pool over the pixels in  
a channel

```
torch.nn.MaxPool2d(kernel_size=image_size)
```

```
torch.nn.AvgPool2d(kernel_size=image_size)
```

follow with: `x.squeeze()`

**Input:** 4D tensor with shape (batch\_size, rows, cols, channels)

**Output:** 2D tensor with shape (batch\_size, channels)

this is used after the last conv2D/pool layer before the  
“flatten” in many recent models

**reduces the complexity of the dense classification network  
without sacrificing performance**



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# REDUCING COMPLEXITY



# REDUCED PARAMETER/COMPUTATION APPROACHES

For larger CNNs, the number of parameters is so large, that **storage complexity** becomes a significant issue

this is an issue for running these models in inference mode on mobile devices

**computational complexity** (during inference and training)  
is also an issue

there has been a lot of work on reducing the storage and computational complexity of CNNs — most have focused on inference of trained models



# REDUCED PARAMETER/COMPUTATION APPROACHES

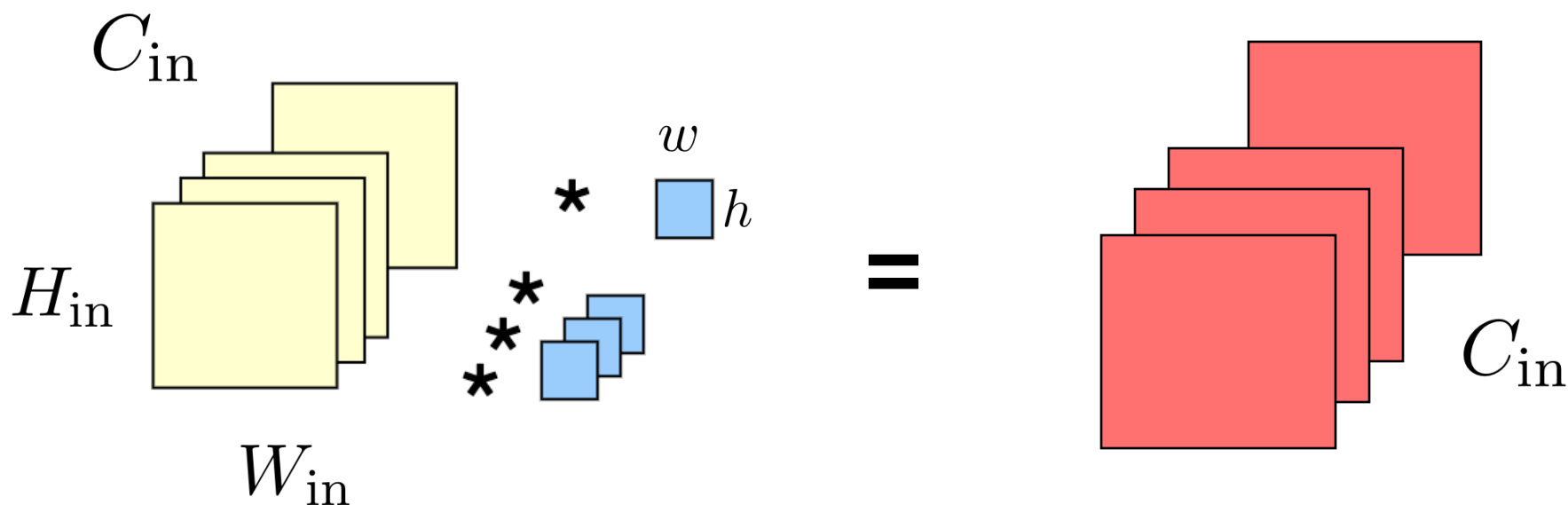
Two primary methods:

**constrained filter structures:** alter the standard conv2D operations to lower the computational/storage complexity

**post-training processing** to reduce complexity

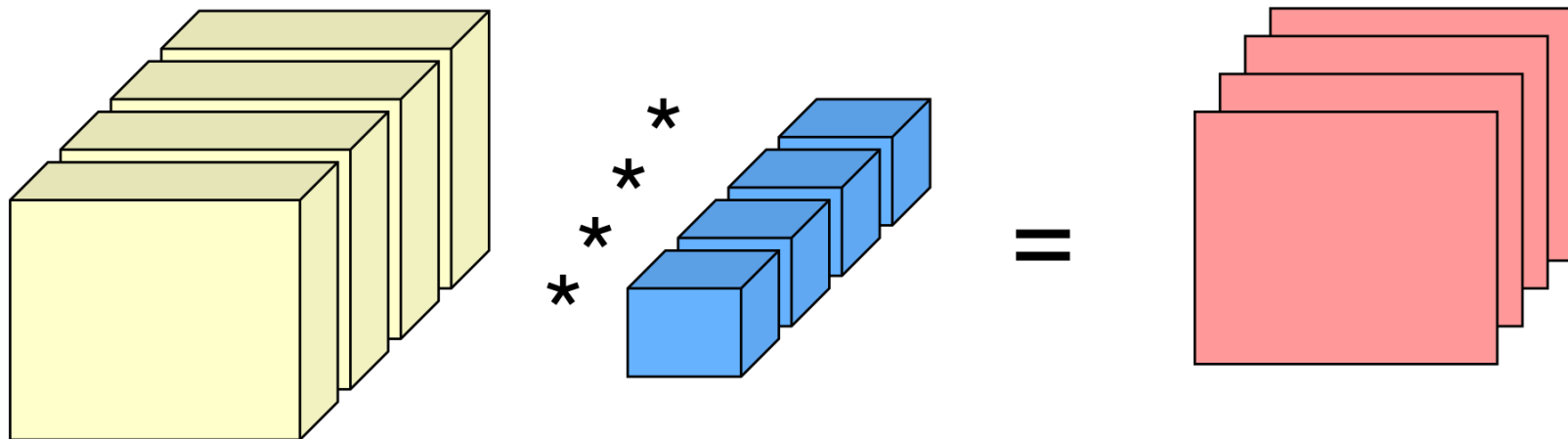


## CONSTRAINED FILTERING: DEPTH-WISE CONVOLUTION



only do convolution separately for channels  
— *i.e.*, no information is mixed across channels

## CONSTRAINED FILTERING: GROUPWISE CONVOLUTION

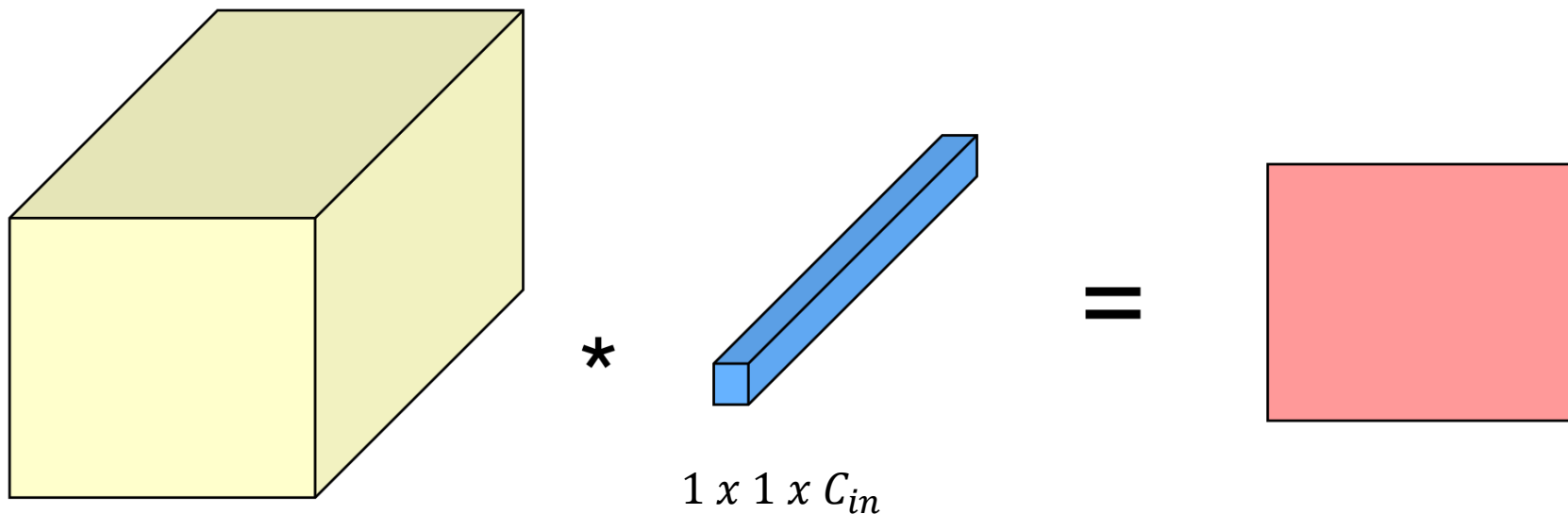


trade-off between standard conv2D filtering and  
depth-wise filtering

use more of these grouped-filters to get more  
output channels

Krizhevsky, Alex, Ilya Sutskever, and Geoffrey E. Hinton. "Imagenet classification with deep convolutional neural networks." Advances in neural information processing systems. 2012.

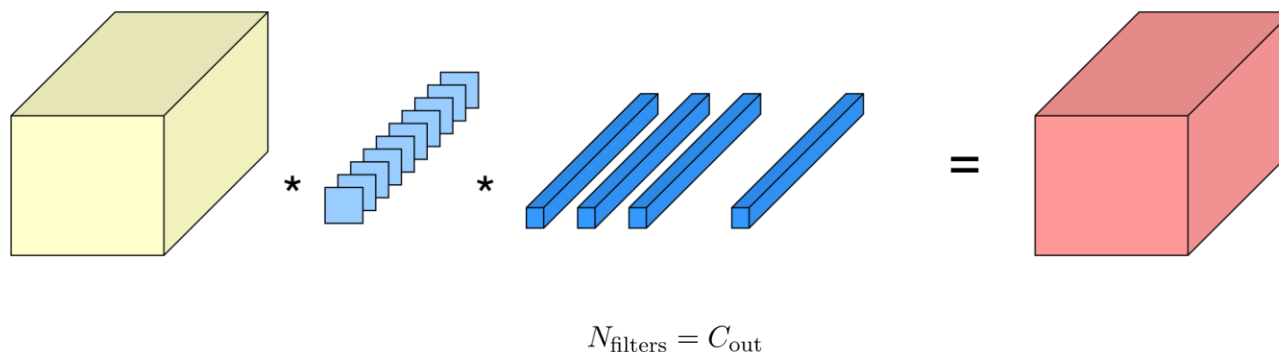
## CONSTRAINED FILTERING: POINTWISE CONVOLUTION



standard Conv2D with filter size 1x1

*a.k.a.*, 1x1 convolution

## EXAMPLE: MOBILENET



combine depth-wise convolution with many 1x1 convolutions  
compare with standard Conv2D:

$$C_{\text{out}} = 32$$

$$C_{\text{in}} = 16$$

$$H_{\text{in}} = 64$$

$$W_{\text{in}} = 64$$

$$h = w = 3$$

4,640 parameters  
with standard  
approach

16, 3x3 depth-wise kernels: 144

32, 1x1 point-wise filters: 512

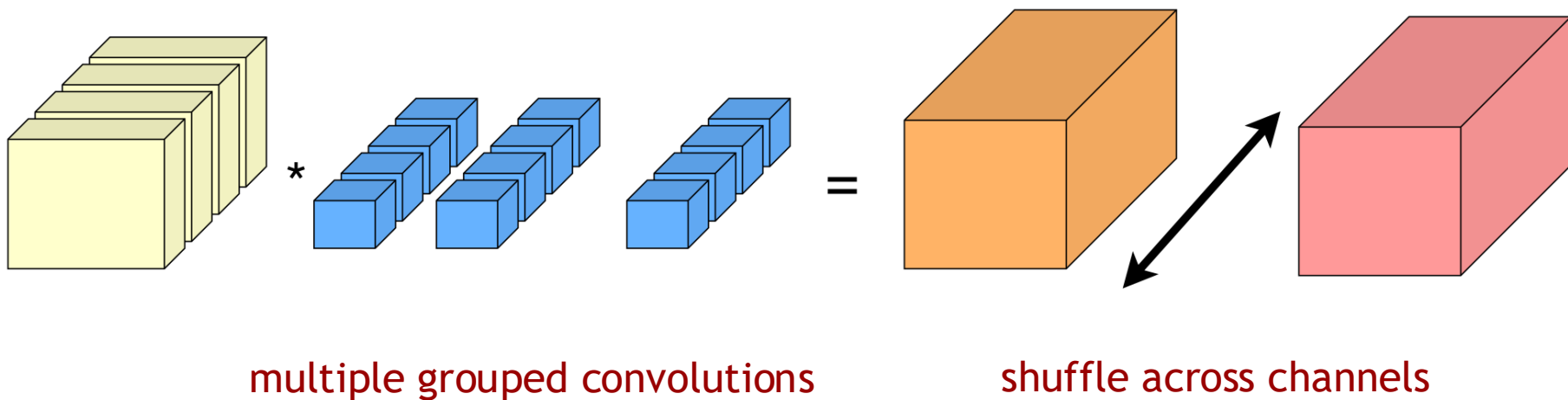
32, biases: 32

688 parameters  
for same output  
feature map size

Howard, Andrew G., et al. "Mobilenets: Efficient convolutional neural networks for mobile vision applications." arXiv preprint arXiv:1704.04861 (2017).

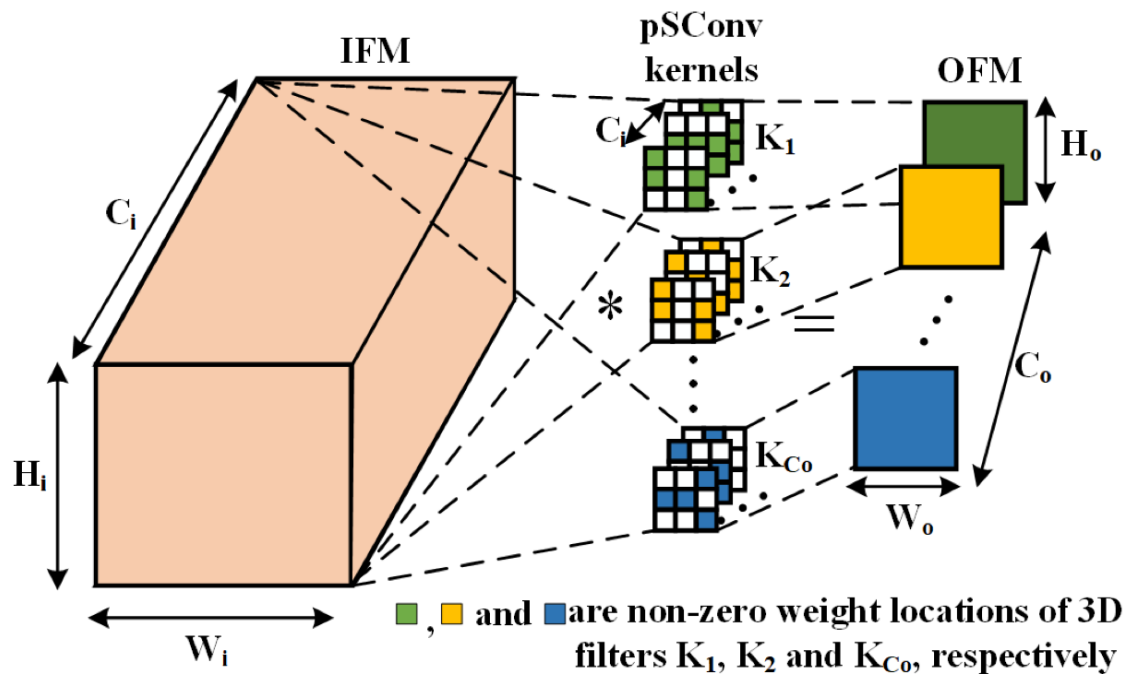
Sandler, Mark, et al. "Mobilenetv2: Inverted residuals and linear bottlenecks." Proceedings of the IEEE conference on computer vision and pattern recognition. 2018.

## EXAMPLE: SHUFFLENET



group-wise convolutions with shuffling

## EXAMPLE: PRE-DEFINED SPARSITY



pre-define some of the filter coefficients to be zero and  
hold fixed through training and inference

targets specialized hardware acceleration – project concept is to map this to GPU

## EXAMPLE: PRE-DEFINED SPARSITY

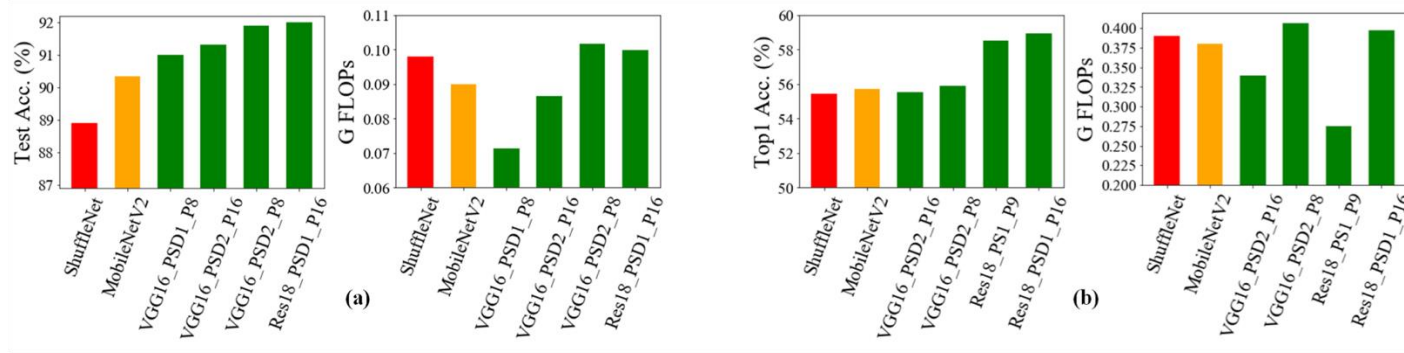


Fig. 11: Performance comparison of our proposed architectures that have similar or fewer FLOPs than ShuffleNet and MobileNetV2 with comparable or better classification accuracy on (a) CIFAR-10 and (b) Tiny ImageNet.

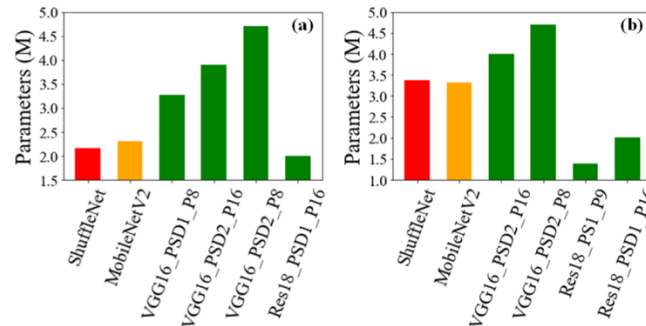


Fig. 12: Comparison of the number of model parameters of the network models described in Fig 11 for (a) CIFAR-10 and (b) Tiny ImageNet datasets.



# POST-TRAINING APPROACHES

post-training processing to minimize complexity

**Pruning:** set near-zero weights to zero, fix these and do some retraining

Yang, Tien-Ju, Yu-Hsin Chen, and Vivienne Sze. "Designing energy-efficient convolutional neural networks using energy-aware pruning." Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition. 2017.

**Quantization:** map similar valued weights to the same value to save storage

Zhou, Aojun, et al. "Incremental network quantization: Towards lossless CNNs with low-precision weights." arXiv preprint arXiv:1702.03044 (2017).

**Binaryization:** find a set of binary weights that best approximate the trained network behavior

Rastegari, Mohammad, et al. "Xnor-net: Imagenet classification using binary convolutional neural networks." European conference on computer vision. Springer, Cham, 2016.





# OUTLINE FOR SLIDES

- Motivation, applications
- Basic 2D convolution operations
  - PyTorch 2Dconv layer
- Pooling and stride
- Fashion MNIST example
- Visualization methods
- Some common CNN structures
- Reduced complexity CNN architectures
- **Back-propagation for CNNs**



# CNN BACK PROPAGATION

# BACK-PROPAGATION IN CNNs

recall the definition of a standard Conv2D operation:

$$y[i, j, k] = \sum_c \sum_{(m, n)} h_{c, k}[m, n] x[i + m, j + n, c]$$

$h_{c, k}[m, n]$  = 2D kernel for input channel  $c$ , output channel  $k$

chain rule:

$$\frac{\partial C}{\partial x[i, j, k]} = \sum_{(i', j', k')} \frac{\partial y[i', j', k']}{\partial x[i, j, k]} \frac{\partial C}{\partial y[i', j', k']}$$

which values of  $h$  are involved here?

shorthand:

$$\partial_v[i, j, k] \triangleq \frac{\partial C}{\partial v[i, j, k]}$$

$$\partial_x[i, j, k] \triangleq \sum_{(i', j', k')} \frac{\partial y[i', j', k']}{\partial x[i, j, k]} \delta_y[i', j', k']$$



# BACK-PROPAGATION IN CNNs

Let's start with the 2D convolution only...

$$y[i', j'] = \sum_{(m,n)} h[m, n] x[i' + m, j' + n]$$

$$s = i' + m$$

$$t = j' + n$$

$$= \sum_{(s,t)} h[s - i', t - j'] x[s, t]$$

$$\delta_x[i, j] = \sum_{(i', j')} \frac{\partial y[i', j']}{\partial x[i, j]} \delta_y[i', j']$$

chain-rule term:

$$\frac{\partial y[i', j']}{\partial x[i, j]} = h[i - i', j - j']$$

$$\begin{aligned} \delta_x[i, j] &= \sum_{(i', j')} h[i - i', j - j'] x[i', j'] \\ &= \sum_{(m,n)} h[-m, -n] \delta_y[i + m, j + n] \end{aligned}$$

$$m = i' - i$$

$$n = j' - j$$

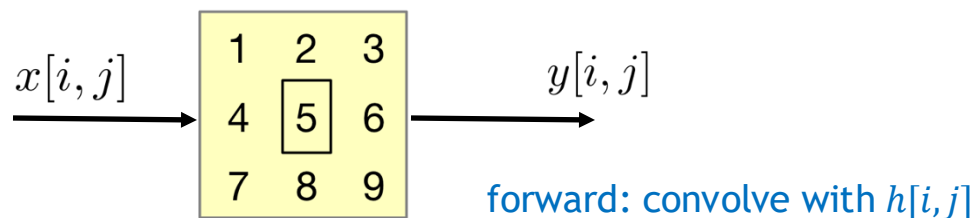
# BACK-PROPAGATION IN CNNs

$$y[i, j] = \sum_{(m, n)} h[m, n] x[i + m, j + n]$$

forward: convolve with  $h[i, j]$

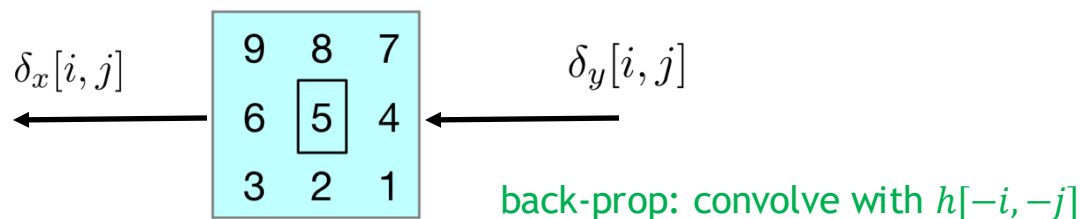
$$\delta_x[i, j] = \sum_{(m, n)} h[-m, -n] \delta_y[i + m, j + n]$$

back-prop: convolve with  $h[-i, -j]$



recall: W-transpose in MLP-BP

$$\delta^{(l)} = \mathbf{a}^{(l)} \left[ (\mathbf{W}^{(l+1)})^T \delta^{(l+1)} \right]$$



# BACK-PROPAGATION IN CNNs

this extends to the standard Conv2D convolution

$$y[i', j', k'] = \sum_k \sum_{(m,n)} h_{k,k'}[m, n] x[i' + m, j' + n, k]$$

$$\delta_x[i, j, k] = \sum_{(i', j', k')} \frac{\partial y[i', j', k']}{\partial x[i, j, k]} \delta_y[i', j', k']$$

$$\begin{aligned} i &= i' + m \\ j &= j' + n \end{aligned}$$

$$\frac{\partial y[i', j', k']}{\partial x[i, j, k]} = h_{k,k'}[i - i', j - j']$$

$$\begin{aligned} \delta_x[i, j, k] &= \sum_{(i', j', k')} h_{k,k'}[i - i', j - j'] \delta_y[i', j', k'] \\ &= \sum_{(m,n,k')} h_{k,k'}[-m, -n] \delta_y[i + m, j + n, k'] \end{aligned}$$

$$\begin{aligned} m &= i' - i \\ n &= j' - j \end{aligned}$$

standard 2DConv with  
**reflected** 2D kernels



## BACK-PROPAGATION IN CNNs: POOLING

### average pooling:

forward:  $Q$  “pixels” averaged

back-prop:  $1/Q$  times the gradient flows back through these  $Q$  “pixels”

results from standard  
differentiation

### max pooling:

forward: max over  $Q$  “pixels”  $(i^*, j^*) \sim \text{argmax}$

back-prop: gradient flows directly through  $(i^*, j^*)$  only

non-differentiable....  
just a convention that  
works!



## CNN/CV RELATED TOPICS

Image segmentation (e.g., U-Net)

Object Detection (e.g., YOLO)

GANs (e.g., “deep fakes”)